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# SKIN LESION SEGMENTATION USING SEGNET WITH SPATIAL ATTENTION

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**Abstract** Skin lesion segmentation identifies and outlines the boundaries of abnormal skin regions. Accurate segmentation may help in the early detection of skin cancer. Accurate Skin Lesion Segmentation is still challenging due to different skin color tones, variations in shape, and body hairs. Moreover, variability in the lesion appearance, quality of the images, and lack of clear skin boundaries make the problem even harder. This paper proposes a SegNet model with spatial attention mechanisms for skin lesion segmentation. Adding one component of spatial attention to SegNet allows the proposed model to focus more on specific parts across the image, eventually leading to a better segmentation of the lesion boundary. The proposed model was evaluated on the ISIC 2018 dataset. Our proposed model attained an average accuracy of 96.25%, and the average dice coefficient equals 0.9052. The model's performance indicates its possible application in automated skin disease diagnosis.

**Keywords:** skin lesion segmentation; deep learning; spatial attention; SegNet.

## 1. Introduction

Skin is the largest organ of the human body that is usually directly exposed to the air. In other words, it is the most vulnerable organ due to its exposure to ultraviolet rays from the Sun and other environmental toxins. It leads to various skin diseases, including skin cancer [28]. According to the International Agency for Research on Cancer (IARC), approximately 3 330 000 new cases of skin cancer were diagnosed worldwide in 2022 [14]. Moreover, almost 60 000 people died from the disease. Furthermore, the IARC has observed that there are 5.4 million new cases of skin cancer every year [31]. Therefore, the World Health Organisation ranks skin cancer as one of the most prevalent and fastest-growing cancers globally [12].

The cause of skin cancer is the proliferation or formation of skin cells unevenly or abnormally. Depending on their type and strength, this proliferation of skin cells can infiltrate or disseminate to other areas of the body. Based on different skin cells, the three important types of skin cancers are basal cell skin cancer, squamous cell skin cancer, and Melanoma. Physicians use these abnormal cells to determine the type of

skin cancer [2, 13, 21]. Basal cell skin cancer and squamous cell skin cancer are less dangerous as they hardly result in death. However, the most dangerous type of cancer is Melanoma, accounting for around 75% of deaths attributed to skin cancer. Its formation starts in melanin-producing cells that develop in melanocytes [11].

Even though Melanoma, a frequently occurring skin cancer, is lethal and the death rate of this disease is very high, it is easily curable if the detection is made in its early stages. According to [14], the in-time diagnosis of Melanoma decreases the mortality rate by 90%. Some other studies reveal that there is a 95% early diagnosis (stage I of the disease) survival rate and a 20% late discovery rate (stage IV of the disease) [19, 31]. It implies that early detection increases the chances of survival and improves therapy efficacy. For this reason, it is critical to diagnose and treat dermatoses as soon as possible.

One of the conventional methods for the diagnosis of melanoma and other skin cancer types is the biopsy. This procedure involves taking a sample from a suspected skin lesion to perform medical tests and determine if it is cancerous. However, undergoing a biopsy can be challenging as it involves extracting a sample of the lesion. It can be uncomfortable and requires time for the procedure and the subsequent analysis. The alternative to biopsy is the visual assessment of skin lesions. Since pigmented lesions are visible on the skin's surface, a skilled visual examination can often detect Melanoma at an early stage. It often involves ABCD Scale [13] that evaluates asymmetry, border irregularity, color variegation, and lesion diameter. The ABCDE Scale [6, 24] is an extension of the ABCD scale and adds evolving to account for changes in the lesion over time. Similarly, Glasgow 7-point Checklist [8] includes major criteria such as a change in size, shape, and color, along with minor criteria like inflammation, crusting or bleeding, sensory changes, and the diameter of the lesion. These algorithms provide a structured approach to assess skin lesions and help in the early detection of Melanoma by identifying key warning signs.

Dermatologists often use a dermatoscope to enhance the visibility of skin lesions by magnifying them with light. This enhanced visibility allows dermatologists to detect early Melanoma that might be invisible to the naked eye. While dermoscopy increases detection accuracy, the complexity of skin lesions and the sheer volume of dermoscopic images make visual inspection potentially non-reproducible, time-consuming, and subjective in medical practice. That is why advancements in computer-aided diagnostic systems have become so important, offering more consistent and efficient analysis of dermoscopic images [9].

Due to the above limitations of visual inspection and dermoscopy, there is a strong motivation to develop computer-assisted diagnosis (CAD) systems to support dermatologists in their examinations. A critical aspect of CAD systems for efficiently analyzing dermoscopic images is automatically segmenting skin lesions from dermoscopic images, enabling more focused and efficient automated analysis of those areas. This automatic segmentation significantly aids early skin cancer detection and diagnosis by improving

diagnostic accuracy. However, accurate automatic segmentation of skin lesions is challenging due to three main factors: (1) Melanomas can vary greatly in shape, size, color, texture, and skin type. Distortions and natural features like hair, air bubbles, and blood vessels complicate the segmentation process. (2) Skin lesions often have fuzzy or uneven edges, and the contrast between the lesion and surrounding skin can be minimal. (3) Early algorithms were trained on relatively small datasets. Gathering large-scale skin lesion annotations from medical experts is difficult.

Existing CAD methods to address the above limitations often give unsatisfactory outcomes because they struggle with artifacts such as corners and low-contrast regions. Moreover, hairs against the background remain critical challenges, making boundary definition a difficult task [25]. On the other hand, the spatial attention mechanism uses spatial relationships of features and creates a spatial attention map. It has been observed that spatial attention modules are helpful in many image processing applications [22, 30, 36]. Therefore, this paper proposes a transfer learning-based approach combined with the spatial attention technique utilizing SegNet to improve the accuracy of skin lesion segmentation.

The paper's main contributions are summarized below:

1. **The Spatial Attention** module is introduced in the feature extraction process of the encoder. This module effectively captures spatial dependencies. This module enables the network to selectively emphasize important regions in the feature maps, improving the understanding of fine details.
2. **The bottleneck layer structure** of SegNet is modified by integrating a spatial attention module. This design increases the receptive field and allows the network to capture contextual information, resulting in more precise segmentation.

We have evaluated the performance of our proposed method on the ISIC 2018 dataset [7, 32]. This dataset contains dermoscopic images for skin lesion analysis. It was selected because it contains diverse skin lesion types collected from many patients. The proposed model showed the highest segmentation accuracy on this dataset compared to the published results on the same dataset. Hence, it proves the efficacy of the proposed model in accurate skin lesion segmentation.

## 2. Background and related works

This section first describes the essential background of skin lesion segmentation techniques. Subsequently, state-of-the-art skin lesion segmentation techniques are presented.

### 2.1. Background on skin lesion segmentation techniques

Skin lesion segmentation is pivotal in the fight against skin cancer, particularly Melanoma. Automated image analysis technique separates suspicious moles or lesions from the surrounding healthy skin in digital images, offering several benefits for dermatologists:

- **Enhanced Diagnostic Accuracy:** It provides a clear picture of the lesion's boundaries, allowing for a detailed analysis of its characteristics, such as color, texture, and borders. Moreover, it helps to distinguish between benign and malignant moles, detecting subtle variations that might be missed otherwise.
- **Earlier Detection:** By clearly highlighting suspicious areas, segmentation aids in identifying melanomas at an early stage, when treatment is most effective.
- **Improved Workflow Efficiency:** Automating the isolation of lesions saves time for dermatologists, allowing them to focus on interpreting the segmented data and making diagnoses, especially for complex cases.

However, achieving accurate segmentation is challenging due to:

- **Variability in Lesions:** Skin lesions can vary significantly in color, shape, texture, and size, making a one-size-fits-all approach difficult.
- **Artifacts:** Features like hair, blood vessels, or wrinkles can mimic lesion features and complicate the segmentation process.
- **Image Quality:** Variations in illumination, camera focus, and resolution can hinder accurate delineation.

These challenges underscore the importance of advanced techniques and tools in improving the precision and reliability of skin lesion segmentation.

## 2.2. Related work on skin lesion segmentation techniques

Researchers are actively working to overcome the hurdles above. The field of skin lesion segmentation primarily relies on two approaches:

- **Traditional Image Processing Techniques:** These methods use algorithms to analyze various image properties like color intensity and texture. While they can be effective, they often struggle with the high variability in skin lesions, limiting their accuracy and reliability.
- **Deep Learning-based Techniques:** These have revolutionized the field by leveraging Convolutional Neural Networks (CNNs). CNNs are trained on extensive datasets of labeled images, allowing them to learn complex patterns and identify subtle features that distinguish lesions from healthy skin. Due to their ability to handle the variability in lesions and their superior accuracy, deep learning approaches are considered state-of-the-art.

The initial research on combining transfer learning and fine-tuning techniques with a melanoma segmentation strategy based on U-net and LinkNet deep learning networks is found in [3]. The experiments were performed on PH2, ISIC 2018, and DermIS datasets.

The method faced limitations due to the image capture device, which affected the model's learning of disease characteristics like resolution, color, sharpness, and lighting. The authors claimed that the reproducibility of results is also limited by the diversity of skin tones across different populations.

A pyramid module incorporating lateral connections and top-down paths was used to compensate for the loss of spatial feature information [1].

This method integrated RetinaNet and MaskRCNN, with the Melanoma ISIC 2018 and PH2 datasets serving as training and validation grounds. The method's limitations included segmentation accuracy being affected by high occlusions near lesions and data class imbalance due to the absence of additional lesion data.

In [16], a fully automated multi-class skin lesion segmentation and classification approach was proposed using the most discriminant deep Learning Features and Improved Moth Flame Optimization. The proposed methodology's segmentation performance was evaluated on the ISBI 2016, ISBI 2017, ISIC 2018, and PH2 datasets. However, the computational time was one of the work's limitations.

In response to skin lesion segmentation, a novel EIU-Net method was proposed to tackle the challenging task [35]. Inverted residual blocks and an efficient pyramid squeeze attention (EPSA) block were proposed as the main encoders at different stages to capture the local and global contextual information. In contrast, atrous spatial pyramid pooling (ASPP) was utilized after the last encoder, and the soft-pool method was introduced for downsampling. Also, they proposed a novel method named multi-layer fusion (MLF) module to effectively fuse the feature distributions and capture significant boundary information of skin lesions in different encoders to improve the network's performance. Furthermore, a reshaped decoder fusion module was used to obtain multi-scale information by fusing feature maps of different decoders to improve the final results of skin lesion segmentation. To validate the performance of this network, it was compared with other methods on four public datasets, including the ISIC 2016, ISIC 2017, ISIC 2018, and PH2 datasets. Moreover, the main metric Dice Score achieved by the proposed EIU-Net are 0.919, 0.855, 0.902, and 0.916 on the four datasets; our EIU-Net can improve the accuracy of skin lesion segmentation [35].

In [23], the authors introduce a novel end-to-end trainable network for skin lesion segmentation. The proposed methodology comprises an encoder-decoder, a region-aware attention approach, and a guided loss function. The trainable parameters are reduced using depth-wise separable convolution, and the attention features are refined using a guided loss, resulting in a high Jaccard index. We assessed the effectiveness of our proposed RA-Net on four frequently utilized benchmark datasets for skin lesion segmentation: ISIC 2016, ISIC 2017, ISIC 2018, and PH2.

Integrating conventional treatment methods with deep learning frameworks to enhance skin lesion identification is proposed in [29]. The study used image data, hand-crafted lesion features, and patient-centric metadata for effective skin cancer diagnosis. It combines image features transferred from Efficient Nets, color and texture information extracted from images, and pre-processed patient metadata to build a hybrid model. Each model underwent training and evaluation using the ISIC 2018 and ISIC 2019 datasets widely used for skin cancer analysis. However, a notable limitation of this

approach is the extreme imbalance in the datasets, which requires careful consideration of appropriate evaluation metrics. Despite achieving high sensitivity (90.49%) and specificity (97.76%) on the ISIC 2018 dataset, the model's performance may vary when applied to different datasets or real-world scenarios with varying data imbalance or complexity levels.

A novel deep-learning method named ChimeraNet was proposed for detecting hair and ruler marks in skin lesion images [17]. ChimeraNet employs an encoder-decoder architecture, incorporating a pre-trained EfficientNet and the decoder's squeeze-and-excitation residual (SERes) structures. However, this technique demands significant computational resources and training time due to the complexity of the encoder-decoder architecture and pre-trained models.

For accurate detection and delineation of hair in skin images, a researcher in [4] proposed a deep learning strategy based on a hybrid network of convolutional and recurrent layers for hair segmentation using weakly labeled data and deep encoded features. The spatial dependencies between disjointed patches were encoded by feeding the encoded features into recurrent neural network layers. The proposed method achieved segmentation accuracy with a Jaccard Index of 77.8 percent.

In [27], the researcher presented a machine learning-based methodology for segmenting skin lesions with novel borders and hair removal. The suggested approach removes any corner boundaries from an RGB skin picture as input. The skin hairs covering the image are then found and eliminated. The generated picture is then improved, and the GrabCut method is used to segment lesions. The research showed that the skin lesion segmentation method proposed in this paper had Jaccard indices of 0.77 and 0.80 on PH2 and ISIC 2018 datasets, respectively, and Dice indices of 0.87 and 0.82, respectively. The method failed to perform well on images with tiny affected areas. It automatically draws a rectangle around the region using the GrabCut method. However, when we deal with dermoscopic pictures with tiny lesions, initiating too big or too small rectangles for over-segmentation will occur during this method's selection process.

Segmentation accuracy degradation and occlusions in dermoscopic images constitute the significant problems identified here. High resolution and elaborate surface structures make conventional segmentation algorithms struggle with dermoscopic pictures. A mistake in segmentation accuracy may give wrong interpretations or cause detection failures, which affect the reliability of the diagnosis results. Moreover, occlusions within these images, such as those brought about by artifacts, hair follicles, and other foreign matter, block important details required during skin lesion boundary determination, leading to distortion. Therefore, it is essential to address these challenges if automated methods of segmenting medical pictures are to be effective in the field of dermatology.

Our proposed method is the Spatial SegNet model, designed based on attention mechanisms, which work well for increasing precision levels and handling occluded areas in dermoscopic images.

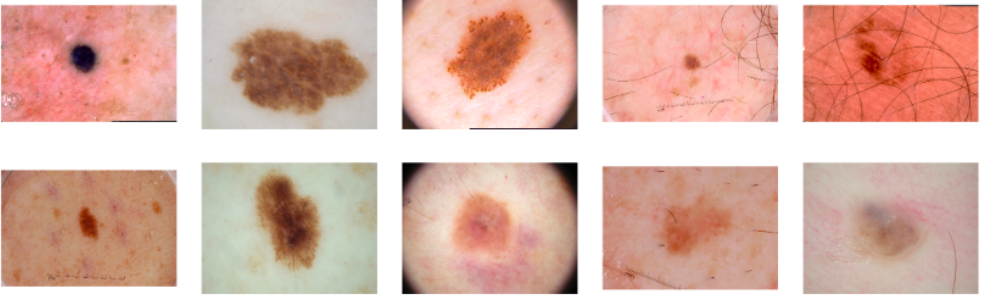


Fig. 1. Few examples of skin lesion samples in ISIC 2018.

### 3. Materials and methods

#### 3.1. Data acquisition

In this research work, the ISIC 2018 dataset [7,32] was used to evaluate the results of our proposed method. The ISIC 2018 dataset is a comprehensive collection of dermoscopic images curated for skin lesion analysis. It contains 2594 images in JPG format, each accompanied by ground truth segmentation masks. This dataset was selected for its diversity and the high-quality annotations it provides, which are essential for an accurate evaluation of segmentation methods. The images in the ISIC 2018 dataset vary significantly in lesion type and appearance, offering a robust challenge for our segmentation model. The ground truth masks serve as a benchmark for assessing the performance of our method, allowing us to measure the accuracy and effectiveness of the segmentation results quantitatively. Using this well-established dataset, we ensure our rigorous evaluation is relevant to real-world clinical scenarios. Figure 1 presents some samples of complex skin lesions in the dataset of ISIC 2018.

#### 3.2. Proposed model

This section presents the details of our proposed method. SegNet has an encoder-decoder structure followed by a pixel-wise classification layer. The encoder architecture of SegNet is identical to VGG16's convolutional layers in topology. The decoder network maps the encoder feature maps to input resolution-sized feature maps for pixel-wise classification. In the proposed model, spatial attention layers are added to the encoder network of the SegNet architecture. In skin lesion images, spatial attention will help the model to focus on essential parts or regions of interest, thereby improving accuracy in segmentation by concentrating on relevant areas while ignoring irrelevant or noisy parts. The SegNet decoder network has several decoders organized in a hierarchy, each corresponding to



## Input layer

An RGB image of a fixed size is an input to this layer. It is usually prepared after normalization, subtracting the mean from the image or scaling all values within a certain range. It accepts input images of size  $256 \times 256 \times 3$  (height, width, color channels).

## Encoder blocks

Pre-trained VGG16 layers are used for feature extraction in the SegNet encoder network. The following layers from the pre-trained VGG16 model are used in the proposed architecture. Each convolutional block typically includes  $3 \times 3$  filters with a stride of 1 and padding of 1 to extract features such as edges, textures, and color patterns. These layers apply learnable filters to the input feature maps. The filters essentially slide across the input, extracting features such as edges, textures, and color patterns. The number of filters used determines the complexity and richness of the extracted features. The activation function ReLU is applied after the convolutional layers (Conv) to introduce nonlinearity and allow the model to capture more complex relationships in the data. The batch normalization layers normalize the activations of the previous convolution layer. It facilitates faster convergence during training and enhances the stability of the learning process. The batch norm essentially standardizes the activations across different mini-batches, mitigating the issue of internal covariate shift. The pooling layers down-sample the feature maps spatially using max pooling. It also makes the model robust to translations that occur very close together, while simultaneously making it less sensitive to noise because features become more generalized.

A Spatial Attention Block is a custom module added to the model to improve the segmentation of skin lesions (Figure 3). It acts on feature maps encoded in the previous convolutional block borrowed from the pre-trained VGG16 model. Specifically, it is inserted after every pooling layer within the VGG16 encoder. Its main objective is to enhance the encoded features and focus on them. Details of each spatial attention block are explained as follows:

- **Squeeze Operation:** First, feature maps are made smaller through a  $1 \times 1$  convolution. This step aims to reduce the dimensionality of the space and the computational cost incurred by modulating units to learn their interaction.
- **Excitation Operation:** The method spins a spatial attention map to identify vital skin detection areas. It is achieved by applying another  $1 \times 1$  convolution operation and performing a sigmoid activation function. The resultant map assigns different values between 0 and 1 for each part of an image, where a value of zero means least significant and a value of one corresponds to the most significant pixels, thereby highlighting those regions necessary for segmentation through information obtained from prior layers.
- **Element-wise Multiplication:** The last part includes element-wise multiplication of the initial feature maps with the produced spatial attention map. By doing this,

characteristics identified as important by our attention mechanism are emphasized, thus enabling the model to concentrate on particular parts during its segmenting duties. Its intensified focus strengthens the model's ability to distinguish between unhealthy cells and their surrounding healthy tissues.

Further elaborating on the workings of the Spatial Attention Block, the first Conv2D layer squeezes the feature maps by decreasing the channels or properties in this module. For example, if the entry feature maps have 512 channels, the squeezing layer might bring this down to a smaller amount, like 64 channels. Then, using the sigmoid activation function, the second Conv2D generates attention weights representing a probability distribution that shows the importance of different spatial locations within the given feature maps. After that, these produced attention weights are multiplied with original features so that some features can be amplified or suppressed selectively based on their importance towards achieving the segmentation goal. Thus, resulting scaled feature maps will center around crucial areas, helping the model capture fine details and semantics necessary for accurate segmentation.

### Decoder layers (segmentation mask reconstruction)

The decoder part takes the encoded feature maps obtained from the encoder along with the spatial attention. It has a symmetric structure relative to the encoder and progressively increases the resolution of feature maps through transpose convolution operations. Convolution layers are attached after these upsampling operations to refine features and learn spatial relationships between pixels. Unlike its counterpart, which extracts them, this one aims to recover spatial information while predicting probabilities for individual pixels to be part of skin lesions. For example, (background versus lesion) background versus lesion class probability maps may be obtained by applying the softmax activation function to the final output layer on a class basis. These layers receive processed features, including effects caused by spatial attention blocks within the encoder, and then gradually reconstruct an image that focuses on the segmentation task. They function oppositely from encoders, i.e., starting with a high-level understanding of the picture and adding more detailed spatial information stepwise downwards towards the lowest level segmentation features being dealt with at every decoder block stage. Each block typically consists of :

- **Upsampling 2D:** It Increases feature maps' spatial resolution by this layer. Unlike the traditional Conv layer, this layer learns upsampled filters that expand the feature maps while introducing new spatial information. It allows the model to recover spatial details lost during pooling in the encoder.
- **Convolutional layers:** After Upsampling layers, Conv layers are applied to refine the features and learn the relationships between pixels similar to the encoder. They help to combine upsampled information with high-level features from the encoder.

- **Batch Normalization layers (Batch Norm):** These are used for normalizing activations after upsampling, similar to the encoder, for better training stability.

### Output layer

The final decoder output is fed to a softmax classifier layer to produce the class probabilities. The softmax function produces a probability map for every pixel in the image. This map shows how likely each pixel is to belong to a specific class (e.g., background or skin lesion). The class with the highest probability for each pixel becomes the predicted segmentation label.

Spatial attention mechanisms are incorporated into models designed for skin lesion segmentation, improving the overall performance and reliability of the proposed model. This technique combines pre-trained features, spatial attention mechanisms, and SegNet's decoder architecture to achieve accurate skin lesion segmentation. Pre-trained VGG16 weights extract essential image features more effectively, reducing training time and enhancing its generalization ability over new data. Considering different skin lesion sizes and appearances, introducing a spatial attention block narrows down the essential parts of an image, thus leading to precise skin lesion segmentation.

### 3.3. Evaluation metrics

To assess the performance of our proposed skin lesion segmentation method, we employed a variety of evaluation metrics that provide a comprehensive analysis of segmentation accuracy and quality. The metrics used in this study include the Dice Coefficient and Binary Accuracy.

TP and FP refer to lesion pixels extracted as lesion pixels and non-lesion pixels extracted as lesion pixels, respectively. At the same time, FN and TN represent lesion pixels extracted as non-lesion pixels and non-lesion pixels extracted as non-lesion pixels, respectively.

#### Dice Coefficient

The Dice Coefficient is an essential metric for evaluating segmentation quality. It is calculated as the ratio of twice the area of overlap between the predicted and ground truth masks to the sum of the areas of both masks. The Dice Coefficient ranges from 0 to 1, with a value closer to 1 indicating better segmentation accuracy. This metric is beneficial for handling class imbalance, as it emphasizes the correct prediction of positive samples. The dice similarity coefficient is a spatial overlap index and a reproducibility validation metric, and it computes the similarity index between the given images.

$$\text{Dice Coefficient} = \frac{2TP}{(FP + TP) + (TP + FN)} . \quad (1)$$

### Accuracy

Accuracy refers to the proportion of correctly predicted pixels (lesion and non-lesion) out of the total number of pixels. It is calculated as follows:

$$\text{Accuracy} = \frac{\text{TP} + \text{TN}}{\text{TP} + \text{TN} + \text{FN} + \text{FP}} . \quad (2)$$

### Precision

Precision refers to the proportion of true positive predictions among all the pixels predicted as lesions. It indicates the model's accuracy in identifying the lesion pixels out of all the pixels it labeled as lesions. Precision is calculated as follows:

$$\text{Precision} = \frac{\text{TP}}{\text{TP} + \text{FP}} . \quad (3)$$

### Sensitivity

Sensitivity, also called Recall, measures the proportion of actual positives (lesions) the model correctly identifies. It indicates the model's ability to detect the lesion pixels

$$\text{Sensitivity} = \frac{\text{TP}}{\text{TP} + \text{FN}} . \quad (4)$$

### Specificity

Specificity measures the proportion of actual negatives (non-lesions) the model correctly identifies. It indicates the model's ability to avoid false positives.

$$\text{Specificity} = \frac{\text{TN}}{\text{TN} + \text{FP}} . \quad (5)$$

### F1 Score

$F_1$  Score is the harmonic mean of Precision and Recall (Sensitivity). It is a balanced measure that considers both false positives and false negatives.

$$F_1 = \frac{2(\text{Precision} \times \text{Recall})}{\text{Precision} + \text{Recall}} . \quad (6)$$

### IOU

IOU is used to measure the overlap between two images.

$$\text{IOU} = \frac{\text{TP}}{\text{TP} + \text{FP} + \text{FN}} . \quad (7)$$

## 4. Experimental results

An open-source machine learning framework, TensorFlow, implements the methodology. It is a user-friendly interface for working with deep neural networks, designed for ease of use rather than machine-level interactions. It is a library mainly used for developing real-time computer vision applications.

### • CPU Resources:

- Environment 1: 256MB memory limit on device `/device:CPU:0`.
- Environment 2: XLA CPU with 16GB memory limit on device `/device:XLA_CPU:0`.

### • GPU Resources:

- Tesla T4 GPUs: Two GPUs with 14.8GB memory each, identified as `/device:GPU:0` and `/device:GPU:1`, with PCI Bus IDs 0000:00:04.0 and 0000:00:05.0, respectively. Both GPUs have Compute Capability 7.5.
- XLA GPUs: Two GPUs with 16GB memory each, denoted as `/device:XLA_GPU:0` and `/device:XLA_GPU:1`.

This combination of hardware configurations provided the computational capacity necessary for efficient training and testing of deep learning models, enabling the handling of large-scale data processing and complex model architectures.

### 4.1. Hyperparameters

To achieve a skin lesion segmentation model, hyperparameters shown in Table 1 are chosen to optimize performance and manage computational resources effectively. A learning rate of  $5 \times 10^{-6}$  is important as it allows for small steps to be taken by the optimizer while minimizing the loss function. It ensures the model converges slowly and steadily without overshooting the minimum loss function. Batch size 8 strikes a balance between memory efficiency and accurate gradient estimation.

To validate our skin lesion segmentation method, we carried out a 5-fold cross-validation. The steps involved partitioning a dataset into five equal sets, training on four, and validating against the fifth set. The results are shown in Table 2. The mean of the five-fold validation results is given in the last row of the table. The results show

Tab. 1. Hyperparameters for the proposed model.

Parameter Name	Parameter Value
Learning Rate	$5 \times 10^{-6}$
Batch Size	8
Input Size	256, 256, 3
Optimizer	Adam Optimizer
Epoch	60

Tab. 2. Results of the proposed model with 5-fold cross validation (STD: standard deviation).

Folds	IoU	Dice Coefficient	Precision	Sensitivity	Specificity	Accuracy
1	0.8026	0.8980	0.8969	0.9086	0.9718	0.9657
2	0.8240	0.9242	0.9163	0.8969	0.9744	0.9616
3	0.8026	0.9051	0.9272	0.8890	0.9820	0.9611
4	0.8240	0.9022	0.9086	0.8725	0.9718	0.9631
5	0.8026	0.8965	0.9310	0.8619	0.9820	0.9611
Mean	0.8111	0.9052	0.9160	0.8857	0.9764	0.96252
STD	0.0092	0.0045	0.0155	0.0172	0.0053	0.0026

a high value of the Dice coefficient (0.9052) and segmentation accuracy (96%). The sensitivity of the proposed model is 0.8857.

Table 3 compares the results of our proposed model against state-of-the-art published results using the ISIC 2018 dataset. Our proposed model of skin lesion segmentation, tested on the ISIC 2018 dataset, shows significant improvements in segmentation. The primary comparison tools used to judge the outcomes are the Dice Coefficient and Binary Accuracy. The high value of the Dice Coefficient shows more similarity of the predicted results with the ground truth mask.

Adding spatial attention to the SegNet architecture achieves better skin lesion segmentation results. Spatial attention assigns weights to each pixel, highlighting the areas of interest and allowing the model to distinguish between lesion and non-lesion regions. This improves the segmentation accuracy as the model can focus on the spatial locations with features relevant to skin lesions, such as irregular shapes and varying pigmentation over background noise.

The SegNet with spatial attention model quantitatively improved the Dice similarity coefficient, IoU, and accuracy scores. These improvements are significant compared to the other segmentation models (Table 3). Figure 4 shows skin lesion segmentation results. The segmentation output looks better in the Figure 4, and lesion boundaries are more precise and consistent.

Tab. 3. Comparative analysis with state-of-the-art techniques.

Model	Dataset Split	Parameters [10 <sup>6</sup> ]	Accuracy	Dice Coefficient
TMU Net [5]	70% training, 10% validation, and 20% testing	–	0.9603	0.905
UNeXt [33]	80% training, 20% testing	1.47	0.9586	0.8873
FAT-Net [34]	70% training, 10% validation, and 20% testing	30	0.9578	0.8903
CPFNET [10]	5-fold cross-validation	43	0.9496	0.8769
DAGAN [18]	2296 images for training, 300 images for testing.	54	0.9324	0.87707
CKDNet [15]	–	51	0.9492	0.8779
REDAUNet [20]	70% training, 10% validation, and 20% testing	47.77	0.9444	0.902
SA SegNet (Ours)	Five-Folds Cross-Validation.	29.6	0.9625	0.9052

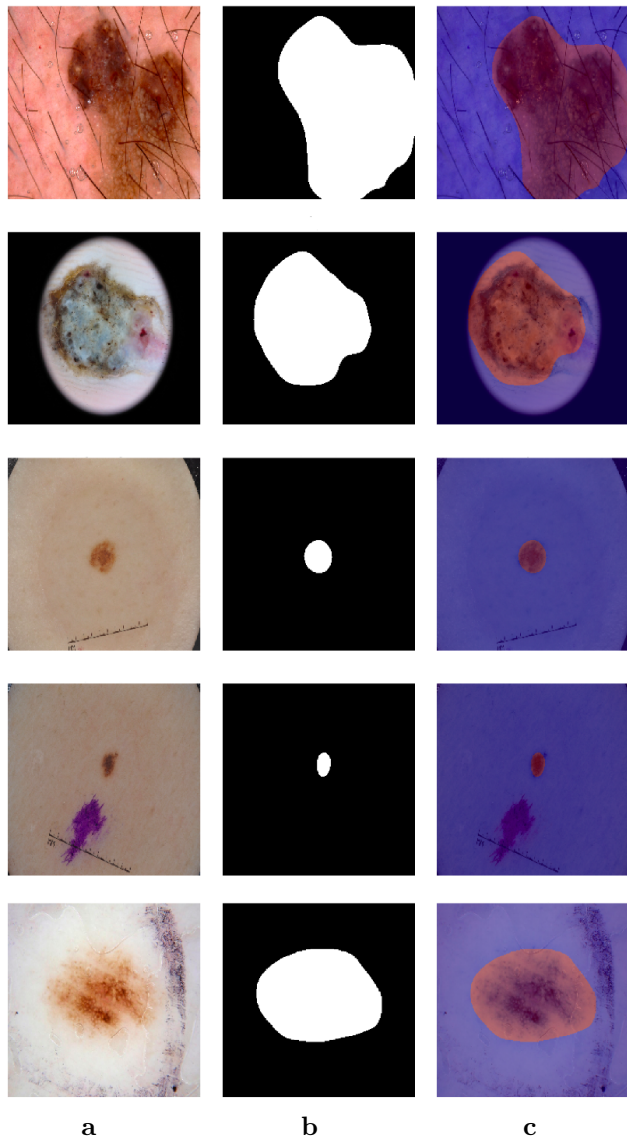


Fig. 4. Some of the segmented images. Vertically: five cases. Horizontally: (a) original image; (b) predicted mask, Dice = 0.85; (c) overlay image.

4.2. Impact of batch size and learning rate

In this experiment, we analyzed the influence of hyperparameters on the model performance. This analysis aims to understand the effect of batch size and learning rate on the Dice Coefficient and the Accuracy metrics. In these experiments, the whole dataset is divided in the ratio of 0.7:0.1:0.2 for training, validation, and testing, respectively.

The Table 4 presents results for the model at various initial learning rates and their effect on the Dice Coefficient and Accuracy. The learning rate of  $1 \times 10^{-6}$  is too low for the model to learn efficiently, as it yields the lowest performance, with a Dice coefficient of 0.8611 and an accuracy of 0.9395. A learning rate of  $1 \times 10^{-5}$  performs the best with the highest value of the Dice coefficient of 0.9053, an accuracy of 0.9626. Thus, it infers that this is the ideal rate of learning and generalization. If the learning rate is increased to  $1 \times 10^{-4}$ , the performance decreases slightly, as the Dice coefficient goes to 0.8794, and an accuracy of 0.9527 is achieved. This shows that although the model performs well, the learning rate is too large for optimal training. As the learning rate is increased to  $1 \times 10^{-3}$ , the model training is the worst, with a Dice coefficient of 0.8761 and an accuracy of 0.9436 on the testing dataset. It may indicate that the model is converging too fast and missing some finer details in the data. The learning rate of  $1 \times 10^{-5}$  is the most effective, being the best in segmentation and classification tasks, while increasing or decreasing the learning rate worsens the performance.

Batch size determines the number of samples in the training dataset to update the parameters. Increasing the batch size means fewer weight updates in an epoch. Hence, memory and computational requirements are lower for smaller batch sizes due to the smaller number of samples per update. However, for smaller batch sizes, the effect of noise and variance of the loss gradient will be more on the weight updates of the model. The Table 5 compares the model’s performance across different batch sizes in

Tab. 4. Comparison of Dice Coefficient and Accuracy for different initial learning rates

Initial Learning Rate	Dice Coefficient	Accuracy
$1 \times 10^{-6}$	0.8611	0.9395
$1 \times 10^{-5}$ (Ours)	0.9053	0.9626
$1 \times 10^{-4}$	0.8794	0.9527
$1 \times 10^{-3}$	0.8761	0.9436

Tab. 5. Comparison of model performance for different batch sizes.

Batch Size	Test Dice Coefficient	Test Accuracy
4	0.9005	0.9578
8 (Ours)	0.9053	0.9626
12	0.8967	0.9570
16	0.8733	0.9509

Tab. 6. Comparison of models based on model's variations.

Model	Test Dice Coefficient	Test Accuracy
SegNet only	0.8977	0.9581
Partial Removal of Spatial Attention	0.8986	0.9561
Removal of Batch Normalization	0.8827	0.9505
<b>Spatial Attention SegNet (Ours)</b>	0.9052	0.9625

terms of Dice Coefficient and Accuracy. Comparing the dice coefficient and accuracy for various batch sizes, a batch size of eight is optimal. The model performs best with a Dice coefficient of 0.9053 and an accuracy of 0.9626. The performance degrades as the batch size increases from eight, and the dice coefficient and accuracy decrease. Finally, with the batch size of 16, the performance significantly drops (Dice coefficient of 0.8733 and accuracy of 0.9509), which implies that the bigger batch sizes may preclude the model's ability to converge effectively and even generalize well. The overall results, however, indicate that batch size eight is more likely to bring equilibrium to the model's computing efficiency and practical utility. Therefore, it is the most suitable option for this experiment.

### 4.3. Ablation experiments

In this section, we perform an ablation study on the proposed model. We have studied the effect of the spatial attention layer and batch normalization layer.

The Table 6 showcases the comparison of the performances of the different versions of the models. The core of the system is the SegNet architecture, and performance can be greatly enhanced by the introduction of some components, like batch normalization and spatial attention. The spatial attention technique is a method for improving segmentation accuracy, which allows the model to focus on relevant areas of the input. In our model we have included another highly significant layer, which is called batch normalization (BN). By doing BN the input to each layer, the result is the stabilization of the process of learning and reduction of internal co-variate shifts. An ablation study shows that when batch normalization is taken away, both the Dice coefficient and the accuracy fall drastically. The removal of batch normalization from the model greatly decreases the model's accuracy, thus proving its importance in ensuring a successful learning period.

Our model, proposed in this paper, combines spatial attention and batch normalization layers. Both layers in the model provides best Dice coefficient of 0.9052 and the highest accuracy of 0.9625. Hence, it is clear that spatial attention helps the model pick the salient parts of the image, while batch normalization ensures the model's training

runs smoothly and can generalize well, thereby enhancing both segmentation and classification performance. The implementing these layers is essential for the robustness, accuracy, and capacity to deal with the complexity of the patterns in the data.

## 5. Conclusion and future work

This paper provides a detailed framework for the proposed skin lesion Segmentation model. Our proposed approach uses SegNet architecture combined with spatial attention layers. Encoder layers are taken from the pre-trained model of VGG16. Our model showed better segmentation accuracy and improved lesion boundary delineation precision. It is evident from Table 3 that the proposed model performed better on the ISIC 2018 dataset than other published state-of-the-art models. We have achieved a dice coefficient of **0.9052** and a segmentation accuracy of **0.9625**.

An important aspect of future work is the incorporation of multimodal data. Combining dermoscopic images with clinical information provides a more holistic approach to analyzing skin lesions; thus, this approach may increase diagnostic performance by improving segmentation accuracy. This kind of approach uses different data strengths to give a more precise and reliable diagnosis. It is also essential to build real-time segmentation systems for clinical purposes. These systems need to work efficiently on edge devices or mobile platforms to be accessible for use in different clinical environments.

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# PERCEPTUALLY OPTIMISED SWIN-UNET FOR LOW-LIGHT IMAGE ENHANCEMENT

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**Abstract** In this paper we propose a novel approach to low-light image enhancement using a transformer-based Swin-UNET and a perceptually driven loss that incorporates Learned Perceptual Image Patch Similarity (LPIPS), a deep-feature distance aligned with human visual judgements.

Specifically, our U-shaped Swin-UNET applies shifted-window self-attention across scales with skip connections and multi-scale fusion, mapping a low-light RGB image to its enhanced version in one pass. Training uses a compact objective – Smooth- $L_1$ , LPIPS (AlexNet), MS-SSIM (detached), inverted PSNR, channel-wise colour consistency, and Sobel-gradient terms – with a small LPIPS weight chosen via ablation.

Our work addresses the limits of purely pixel-wise losses by integrating perceptual and structural components to produce visually superior results. Experiments on LOL-v1, LOL-v2, and SID show that while our Swin-UNET does not surpass current state-of-the-art on standard metrics, the LPIPS-based loss significantly improves perceptual quality and visual fidelity.

These results confirm the viability of transformer-based U-Net architectures for low-light enhancement, particularly in resource-constrained settings, and suggest exploring larger variants and further tuning of loss parameters in future work.

**Keywords:** low-light image enhancement, U-Net, mean opinion score, LPIPS.

## 1. Introduction

As shown below, numerous software frameworks, models, and methodologies have been proposed for the low-light enhancement task. Nevertheless, we extend this research by examining three persistent gaps – architecture, efficiency, and perception. Pure transformer U-Nets such as Swin-UNET [3] have been scarcely explored in this context, yet their hierarchical shifted-window attention is well suited to the joint global–local reasoning required by complex illumination. Moreover, state-of-the-art models almost exclusively optimise pixel-level errors, which correlate poorly with human judgement; colour shifts and texture flattening therefore persist. A composite loss that blends classic terms with a perceptual metric (LPIPS) [48] is needed to align optimisation with visual quality. In addition, many high-performing pipelines rely on heavy diffusion stages or multi-branch designs, whereas a lightweight, single-stage Swin-UNET promises a superior accuracy–efficiency trade-off – crucial for real-time or mobile applications.

These observations motivate our investigation of a perceptually optimised Swin-UNET

that couples the representational power of hierarchical transformers with an LPIPS-augmented composite loss, aiming to reduce residual artefacts while retaining computational frugality.

### 1.1. Related Works

Enhancing photographs captured in severe darkness has matured from handcrafted tone-mappers to sophisticated learning pipelines, yet every generation still negotiates its own trade-offs between fidelity, robustness, and speed. Early grey-level transformations and Retinex-based formulations [9, 10, 13, 14, 17, 27, 44] adjust global brightness through fixed, analytical rules that remain attractive for real-time use but inevitably falter when illumination varies across a scene, leaving local noise and colour bias unresolved. Retinex theory itself – explicitly separating reflectance from illumination – continues to underpin most modern networks: Retinex-Net [37] dissects, corrects, and re-merges the two layers in three consecutive modules, achieving joint denoising and brightening, although its separate branches occasionally amplify artefacts if any module under-fits. Diff-Retinex [43] replaces convolutions with Transformer Decomposition Networks (TDN) and diffusion-style adjusters that offer smoother global illumination at the cost of substantial inference latency introduced by the diffusion iterations. Alternative encoder-decoder designs regress a coarse illumination map and refine it in a single pass; their simplicity improves throughput but risks oversmoothing high-frequency detail. Two-stream recurrent models mitigate this blur by letting a secondary branch track salient textures, yet the recurrent roll-out lengthens both memory use and training time.

To preserve the fine structure of the image, in the subsequent work the multi-scale processing and attention was introduced. Unrolled optimisation with residual blocks and parallel multi-resolution streams [19, 45] retains context over very large receptive fields, but the extra resolution hierarchy enlarges GPU memory consumption. CDAN [31] adds dense connectivity and channel-attention to a U-Net skeleton, improving colour consistency and perceptual sharpness while inflating parameter count. SNR-aware attention [40] and residual dense attention units [50] explicitly weight features by estimated noise statistics, reducing information loss on consumer cameras, yet the reliance on a reliable SNR estimate can degrade accuracy when sensor characteristics change. Laplacian-pyramid diffusion in PyDiff [52] progressively samples higher resolutions so as to suppress global RGB shifts with fewer parameters than classic diffusion; nevertheless, its iterative denoiser remains too heavy for battery-powered hardware.

The field is therefore witnessing a parallel push toward lightweight yet perceptually solid designs. LYT-Net [1] splits the Y and UV channels into separate paths with a Channel-Wise Denoiser and a ViT-based fusion block, reaching mobile-class throughput; its dependence on an explicit YUV conversion, however, complicates end-to-end RAW processing pipelines. Self-DACE [38] alternates Adaptive Adjustment Curves with a

CNN-based denoiser in a two-stage loop and learns solely from unpaired data, generalising across cameras while effectively doubling runtime. Other lightweight attempts compress feature maps aggressively but tend to underperform on real photographs where noise, colour cast, and motion blur co-occur.

Collectively, these developments yield a toolbox that can brighten images, suppress grain, and restore colour, yet three persistent challenges remain. First, colour distortion survives in regions where statistical priors deviate from the true illumination spectrum. Second, texture fidelity still drops whenever a network relies exclusively on pixel-wise losses such as  $L_1$  or MSE, encouraging overly smooth outputs. Third, computational overhead – either from deep cascades, recurrent loops, or diffusion steps – prevents many state-of-the-art models from running interactively on edge devices.

Transformers equipped with windowed self-attention offer a plausible route toward closing these gaps. The Swin Transformer family [21] combines convolution-like locality with long-range context in a hierarchical fashion that scales linearly with image size, and thus promises a more favourable accuracy–efficiency balance than global-attention ViTs. Embedding Swin blocks in an encoder–decoder topology inherits the strong reconstruction ability of U-Nets while eliminating the multi-branch overhead common in Retinex cascades or the multi-step burden of diffusion. Such a design can devote its full capacity to suppressing colour shifts and preserving texture within a single pass, potentially delivering competitive perceptual quality at a fraction of the compute budget. The present work therefore positions a Swin-based U-Net at the centre of the low-light enhancement landscape, evaluating it against both heavyweight perceptual optimisers and recent lightweight specialists, and highlighting where transformer attention can bridge the longstanding trade-off between fidelity, robustness, and real-time performance.

## 2. Experimental setup

### 2.1. Datasets

To comprehensively evaluate our proposed method for low-light image enhancement, we utilized two prominent benchmark datasets specifically designed for addressing challenges associated with underexposed photography: the LOL and SID datasets. These datasets provide paired low-light and normal-light images, enabling supervised learning and detailed performance assessments. Additionally, to determine the most effective approach to data integration, we explored various dataset combinations, consistently using LOL for training, while systematically varying the inclusion and selection strategy of SID images (single darkest, three darkest, random selection, or none).

#### 2.1.1. LOL Dataset

The LOL dataset [37] consists of pairs of images captured under low-light and normal-light conditions, primarily designed to support research focused on image enhancement

techniques. It includes 500 image pairs, of which 485 are used for training and 15 for testing. Most images in this dataset depict indoor scenes and maintain a uniform resolution of  $400 \times 600$  pixels. Additionally, we employed an expanded version, known as LOL-v2, which provides 689 training and 100 testing image pairs. LOL-v2 notably enhances dataset variability by incorporating both synthetic and real-world low-light scenarios, allowing for more robust evaluations of algorithmic performance under diverse conditions.

### 2.1.2. SID Dataset

The See-in-the-Dark (SID) dataset [4] is a comprehensive collection of raw, short-exposure images accompanied by corresponding long-exposure reference images, tailored specifically for low-light enhancement studies. It comprises 5094 image pairs captured under various illumination conditions using two different professional-grade camera systems. This dataset uniquely offers multiple exposure levels per scene, providing valuable insights into the effectiveness of enhancement methods across varying degrees of darkness. In our experiments, we specifically evaluated multiple strategies for incorporating SID data into the training process. These strategies included selecting only the darkest exposure per scene, the three darkest exposures, random exposure selection, and excluding SID data entirely. This allowed us to rigorously investigate the impact of different dataset configurations on model performance and generalizability.

## 2.2. Proposed method

The goal of this work is to investigate whether a carefully tuned and loss-optimised lightweight architecture based on Swin-Unet [3] can achieve performance competitive with current state-of-the-art models for low-light image enhancement. In contrast to many recent approaches that incorporate multiple complex modules or multi-stage designs [1, 31, 52], we focus on a streamlined and efficient model that leverages the global context modelling capabilities of Vision Transformers while maintaining the desirable properties of U-Net’s encoder-decoder structure.

We hypothesize that, with the right combination of architectural design and a composite loss function tailored to perceptual and structural fidelity, a pure transformer-based model can deliver good results on both synthetic and real-world low-light datasets.

### 2.2.1. Model Architecture

Our proposed model builds upon Swin-Unet [3], a pure Transformer architecture originally developed for medical image segmentation. The architecture follows a symmetric U-shaped design composed entirely of Swin Transformer blocks [21], organized into an encoder, bottleneck, and decoder, interconnected through skip connections.

The encoder consists of a patch embedding layer followed by four hierarchical stages of Swin Transformer blocks and patch merging layers, progressively reducing spatial

resolution while increasing feature dimensionality. The bottleneck module operates at the lowest resolution, capturing deep contextual features.

The decoder mirrors the encoder structure, utilizing patch expanding layers and Swin Transformer blocks to restore spatial resolution and refine the feature representations. Skip connections are introduced at each level to recover fine-grained spatial information lost during downsampling.

Unlike traditional CNN-based U-Nets, Swin-Unet replaces convolutional layers with self-attention mechanisms using shifted windows. This allows the model to efficiently capture both local details and long-range dependencies without excessive computational overhead. A final upsampling module brings the output back to the original image resolution, followed by a  $1 \times 1$  convolution to produce the enhanced image.

### 2.2.2. Loss function

The most commonly used loss functions in low-light image enhancement tasks are the Mean Absolute Error (MAE), often referred to as  $L_1$ -loss, and the Mean Squared Error (MSE), also known as  $L_2$ -loss. These functions have been widely adopted due to their simplicity and effectiveness in pixel-wise intensity comparison.

Recent top-tier works, such as [52] and [2], prominently utilize the  $L_1$ -loss, highlighting its continued relevance in state-of-the-art models. The formula for  $L_1$ -loss is given by:

$$L_1 = \frac{1}{N} \sum_{i=1}^N |\hat{y}_i - y_i|, \quad (1)$$

where  $\hat{y}_i$  denotes the predicted pixel value,  $y_i$  is the corresponding ground-truth value, and  $N$  is the total number of pixels. For comparison, the  $L_2$  loss (mean squared error, MSE) is defined as:

$$L_{\text{MSE}} = \frac{1}{N} \sum_{i=1}^N (\hat{y}_i - y_i)^2. \quad (2)$$

While  $L_2$ -loss penalizes large deviations more heavily, leading to smoother outputs,  $L_1$ -loss is less sensitive to outliers and often results in sharper reconstructions. This distinction makes  $L_1$ -loss preferable in tasks requiring better preservation of image details.

In addition to pixel-wise losses, perceptual losses have gained popularity for improving the visual quality of enhanced images. In [31], the authors utilize a combination of MSE and perceptual loss based on a pre-trained VGG19 network. The perceptual loss compares feature maps from different layers of the VGG19 network for both generated and reference images, ensuring better high-level feature alignment. The perceptual loss is formulated as:

$$L_{\text{VGG}} = \frac{1}{N} \sum_{i=1}^N \|\text{VGG}(\hat{I}_i) - \text{VGG}(I_i)\|_2^2, \quad (3)$$

where  $\hat{I}_i$  and  $I_i$  represent the predicted and ground truth images, respectively, and  $VGG$  denotes the feature extraction function using the VGG19 network.

The composite loss function used in this work combines MSE and perceptual loss as follows:

$$L_{\text{composite}} = L_{\text{MSE}} + \lambda L_{\text{VGG}}, \quad (4)$$

where  $\lambda$  is a hyperparameter balancing the contributions of the two components. According to the authors,  $\lambda = 0.25$  yields optimal results.

Similarly, [8] proposes a loss function designed for low-light image enhancement in both HVI and sRGB colour spaces; we will refer to it as *FN-loss* in the remainder of this paper to simplify the nomenclature. The total loss  $L$  is defined as:

$$L = \lambda_c \cdot l(\hat{I}_{\text{HVI}}, I_{\text{HVI}}) + l(\hat{I}, I), \quad (5)$$

where  $\hat{I}_{\text{HVI}}$  and  $I_{\text{HVI}}$  are the predicted and ground truth images in the HVI colour space,  $\hat{I}$  and  $I$  are the predicted and ground truth images in the sRGB colour space, and  $\lambda_c$  is a weight balancing the two losses.

The loss function  $l$  for each colour space consists of multiple components:

$$l(\hat{X}, X) = \lambda_1 L_1(\hat{X}, X) + \lambda_e L_e(\hat{X}, X) + \lambda_p L_p(\hat{X}, X), \quad (6)$$

where:  $L_1$  loss denotes the pixel-wise  $L_1$  loss,  $L_e$  is the edge loss encouraging edge preservation in the enhanced image, and  $L_p$  is the perceptual loss, ensuring perceptual similarity by comparing features extracted by a pre-trained network (e.g., VGG19).  $\lambda_1$ ,  $\lambda_e$ , and  $\lambda_p$  are weights controlling the contributions of the respective loss components.

The proposed approaches demonstrate the efficacy of combining multiple loss components, including pixel-wise, edge, and perceptual losses, to achieve enhanced brightness, colour accuracy, and edge sharpness in low-light image enhancement tasks.

A notable example of an advanced loss function design is presented in [1]. The authors of LYT-Net used a hybrid loss function that combines multiple components to jointly optimise image brightness, perceptual quality, structural similarity, and colour fidelity. Their loss function can be expressed as:

$$L_{\text{total}} = L_S + \alpha_1 L_{\text{Perc}} + \alpha_2 L_{\text{Hist}} + \alpha_3 L_{\text{PSNR}} + \alpha_4 L_{\text{colour}} + \alpha_5 L_{\text{MS-SSIM}}, \quad (7)$$

where:  $L_S$  denotes the Smooth  $L_1$  loss, applying a linear or quadratic penalty depending on the error magnitude to handle outliers effectively,  $L_{\text{Perc}}$  is the perceptual loss enforcing high-level feature consistency via VGG feature maps,  $L_{\text{Hist}}$  is the histogram loss aligning intensity distributions of prediction and ground truth,  $L_{\text{PSNR}}$  is the PSNR-based loss penalizing deviations in peak signal-to-noise terms,  $L_{\text{colour}}$  is the colour fidelity loss minimizing channel-wise mean differences, and  $L_{\text{MS-SSIM}}$  is the multiscale structural similarity loss preserving structure across scales.

Each component in this hybrid loss function addresses a specific aspect of the enhancement problem, ensuring a balanced optimization process. This approach demonstrates how combining multiple loss terms can lead to excellent results in low-light image enhancement.

Both methods, [1] and [20], achieve excellent performance, particularly on synthetic datasets like LOLv2. However, models trained with simpler loss functions, such as the  $L_1$ -loss used in [52], tend to perform better on real-world datasets. This suggests that while advanced hybrid loss functions can improve performance on controlled datasets, simpler losses might generalize better in real-world scenarios. The superior real-world performance of [52] is likely influenced by the entire network architecture and training optimization strategy, including the choice of loss function.

In [20], the authors employ a vector quantization-based method for low-light image enhancement and define separate loss functions across three stages:

**Stage I Loss:** The goal is to train a normal-light encoder, decoder, and codebook using a combination of:

$$L_{\text{Stage I}} = L_{\text{recon}} + \beta L_{\text{vq}}, \quad (8)$$

where  $L_{\text{recon}}$  is the  $L_2$ -loss (Mean Squared Error) ensuring pixel-wise reconstruction accuracy, and  $L_{\text{vq}}$  is the vector quantization loss, which penalizes differences between the encoded and quantized features.

**Stage II Loss:** To bridge the gap between low-light and normal-light feature spaces, a distillation loss is introduced, alongside a query loss that optimises the matching process:

$$L_{\text{Stage II}} = L_{\text{distill}} + L_{\text{query}}, \quad (9)$$

Here,  $L_{\text{distill}}$  minimizes the feature-level discrepancy using  $L_1$ -loss, while  $L_{\text{query}}$  ensures accurate codebook item selection by aligning distance maps between features and codebook/query items.

**Stage III Loss:** In the final stage, a fusion branch combines features from different scales, and a brightness-aware attention module is employed to refine the enhanced image. The total loss in this stage is an  $L_1$ -loss defined as:

$$L_{\text{Stage III}} = \|I_{\text{rec}} - I_N\|_1 \quad (10)$$

where  $I_{\text{rec}}$  is the reconstructed image, and  $I_N$  is the ground truth normal-light image. Influence when parameters change: Eq. (10) has no explicit hyperparameters; if weighted by  $\lambda_3$  in the total loss, increasing  $\lambda_3$  scales the gradient  $\partial L / \partial I_{\text{rec}} = \lambda_3 \text{sign}(I_{\text{rec}} - I_N)$  and enforces pixel fidelity (typically higher PSNR/SSIM, smoother textures), while decreasing  $\lambda_3$  lets perceptual/structural terms dominate (often sharper appearance with slight PSNR/SSIM trade-off). Replacing  $\|\cdot\|_1$  with  $\|\cdot\|_2^2$  would penalize large residuals more (more denoising/smoothness, potential edge blurring); keeping  $L_1$  preserves edges and is outlier-robust. Stronger brightness-aware attention

concentrates updates in dark regions (better shadow recovery, risk of halos if excessive); weaker attention spreads updates (fewer artifacts, possible residual shadow noise). We use plain  $L_1$  ( $\lambda_3 = 1$  unless stated) and control the overall balance via Eq. (11).

To better align the network output with human visual perception, we augment classic pixel-wise objectives with a deep-feature component based on LPIPS [48]. The total training signal is defined as:

$$L_{\text{total}} = \alpha_S L_S + \alpha_P L_{\text{LPIPS}} + \alpha_M L_{\text{MS-SSIM}} + \alpha_N L_{\text{PSNR}} + \alpha_C L_{\text{colour}} + \alpha_G L_{\text{Grad}}, \quad (11)$$

where  $L_S$  is the Smooth- $L_1$  loss,  $L_{\text{MS-SSIM}}$  is the multi-scale structural similarity loss (computed with detached gradients),  $L_{\text{PSNR}}$  is the inverted PSNR loss,  $L_{\text{colour}}$  penalizes differences in channel-wise mean values, and  $L_{\text{Grad}}$  enforces edge consistency using Sobel-based gradients. The perceptual term  $L_{\text{LPIPS}}$  uses the metric introduced by Zhang et al. [48], based on a frozen AlexNet backbone [16]. During training, both prediction and ground-truth images are forwarded through the LPIPS network in `no_grad` mode, after being rescaled from  $[0, 1]$  to  $[-1, 1]$ , as required by the implementation. The choice of the LPIPS loss weight  $\alpha_P$  was also subject to ablation, as we evaluated different values to balance perceptual quality and training stability. A comprehensive comparison of alternative loss functions and weight configurations is presented later in the paper.

### 2.2.3. Training setup

The complete pipeline is implemented in PyTorch 2.3 [28] with native AMP (Automatic Mixed Precision), uDNN (CUDA Deep Neural Network library) [26], benchmarking enabled, weight-initialization utilities from *timm* [25], and tensor rearrangements from *einops* [29, 30]. The Swin-Unet backbone is realised as a pure-attention U-Net: a patch-embedding stem feeds four encoder stages that alternate shifted-window multi-head self-attention, MLPs and residual connections, each stage halving the spatial resolution through patch merging; a bottleneck attends at the coarsest scale; four symmetric decoder stages then perform patch expansion while concatenating the corresponding encoder activations; an expand-by-four layer followed by a  $1 \times 1$  projection produces the RGB output. Three capacities are explored by crossing initial widths 256, 384, 512 with depth patterns 2-4-6-2, 2-4-8-2 and 2-6-12-4, giving nine architectural variants.

Training uses the LOL-v1 split, both LOL-v2 subsets and the SID corpus; for SID only the darkest exposure of every scene is paired with its long-exposure reference and the official Part-1 / Part-2 division is kept for training and validation. All images are converted to linear  $[0, 1]$ , randomly flipped and rotated by multiples of  $90^\circ$ , then partitioned into non-overlapping  $256 \times 256$  crops that serve as individual samples; evaluation runs on a single uncropped patch without test-time augmentation. Four supervision regimes are tested: the hybrid LYT objective, the six-term LPIPS-augmented loss of Eq. (11) with  $\alpha_P \in 0.1, 0.2, 0.5$ , pure MSE and the colour-space FN-loss of Feng et al [8]. In every

case AdamW starts at  $1 \times 10^{-4}$ , warms up linearly for five epochs, decays cosinely to  $1 \times 10^{-6}$ , applies weight-decay of  $10^{-4}$ , clips the gradient norm to 1.0 and accumulates two mixed-precision micro-batches, yielding an effective batch of sixteen patches. Each run spans one hundred epochs and the checkpoint with the lowest mean validation loss over LOL-v1, LOL-v2-real and LOL-v2-synthetic is retained.

All experiments were run on a single NVIDIA RTX 4090. Mini-batch size was adjusted per model to saturate GPU memory; for the 512-channel backbone this meant a batch size of 1, which noticeably slowed iterative testing. Given the tight hardware and time budget – and the wish to cover nine capacities and four loss functions – some hyper-parameters (e.g. the LPIPS multiplier) were fixed to representative values instead of being exhaustively tuned. Access to stronger hardware would allow a broader sweep over embed width, window size and loss weights, leading to a more thoroughly optimised model.

### 3. Experimental results

In this section, we present extensive experimental validation of our proposed Swin-Unet-based method for low-light image enhancement. We systematically evaluated the performance impact of key architectural choices, different strategies for incorporating supplementary datasets, and various loss functions. To directly address the reviewer’s concern and isolate sources of improvement, we conducted two complementary ablations: (i) with the architecture and data held fixed, we varied only the loss (MSE, FN-loss, LYT, and LPIPS-weighted variants); and (ii) with the loss and data held fixed, we varied only the architecture (embedding dimensions and transformer depths). The baseline for all comparisons was the original Swin-Unet model configuration with embedding dimension 512 and hierarchical depths of 2-4-8-2, which previously demonstrated promising results in similar vision tasks. The LOL-v1 and LOL-v2 datasets (both synthetic and real subsets) were utilized as primary benchmarks. We specifically investigated the impact of embedding dimensions and transformer depths, dataset integration strategies (particularly regarding the SID dataset), and diverse loss function formulations, including Mean Squared Error (MSE), FN-loss, LYT loss, and our proposed LPIPS-based perceptual loss function. The evaluation metrics used were Structural Similarity Index (SSIM) and Peak Signal-to-Noise Ratio (PSNR), commonly adopted standards for image enhancement assessment.

#### 3.1. Comparative analysis

Initially, we focused on the effective use of the SID dataset within the training pipeline. Four distinct approaches were tested using the optimal Swin-Unet architecture (embedding dimension 512, depths 2-4-8-6) and LYT loss: (1) selecting the single darkest image per scene from SID, (2) selecting the three darkest images, (3) randomly choosing SID

Tab. 1. Comparison of SID dataset integration strategies using LYT loss.

SID Strategy	SSIM LOL-v1	PSNR LOL-v1	SSIM LOL-v2-real	PSNR LOL-v2-real	SSIM LOL-v2-synth	PSNR LOL-v2-synth
Single darkest	<b>0.829</b>	21.43	<b>0.834</b>	22.55	0.897	22.46
Three darkest	0.799	<b>23.16</b>	0.825	22.63	0.897	22.40
Random	0.772	22.53	0.810	22.58	<b>0.904</b>	<b>23.30</b>
No SID	0.780	22.13	0.826	<b>23.67</b>	0.902	22.61

Tab. 2. Effect of embedding dimensions and depths (LYT loss, single darkest SID).

Embed dim / depths	SSIM LOL-v1	PSNR LOL-v1	SSIM LOL-v2-real	PSNR LOL-v2-real	SSIM LOL-v2-synth	PSNR LOL-v2-synth
512 / 2-4-8-2	<b>0.829</b>	21.43	<b>0.834</b>	<b>22.55</b>	0.897	<b>22.46</b>
512 / 2-4-6-2	0.762	20.00	0.803	21.56	0.883	20.84
384 / 2-4-6-2	0.759	20.91	0.792	21.10	0.872	20.52
384 / 2-6-12-4	0.784	<b>21.47</b>	0.806	21.74	<b>0.895</b>	22.52

images, and (4) completely excluding SID. Table 1 summarizes these experiments, clearly indicating that leveraging the single darkest SID image achieved consistently superior results. This strategy yielded an SSIM = 0.829 and PSNR = 21.43 for LOL-v1, and SSIM = 0.834 and PSNR = 22.55 for LOL-v2-real, significantly outperforming alternative approaches.

The observed differences between SID usage strategies highlight that carefully selecting SID images based on luminance intensity notably improves performance and training stability. Because the loss and architecture were held fixed here, these gains are attributable to the data integration strategy rather than the perceptual loss choice. Random SID selection, although performing well on synthetic datasets, showed reduced consistency across real-world benchmarks.

We then explored varying model configurations by adjusting the embedding dimensions and transformer depths, again utilizing the optimal SID selection (single darkest image). We compared embedding dimensions of 384 and 512, and various depth configurations, specifically 2-4-6-2 and 2-6-12-4. As Table 2 demonstrates, significantly lower embedding dimensions (384) substantially decreased SSIM and PSNR values, indicating insufficient representational capacity. Thus, such configurations were excluded from further experiments.

Under a fixed loss (LYT) and data strategy, increasing architectural capacity from depths 2-4-6-2 to 2-4-8-2 at embed = 512 improved SSIM/PSNR by +0.067/+1.43 (LOL-v1), +0.031/+0.99 (LOL-v2-real), and +0.014/+1.62 (LOL-v2-synth). These deltas are larger than those observed when swapping perceptual losses under a fixed architecture (see below), indicating that most SSIM/PSNR gains stem from the architecture.

Next, we assessed several loss functions to determine their efficacy. Specifically, we compared MSE, FN-loss, LYT loss, and our perceptual LPIPS-based loss with varying LPIPS multipliers (0.1, 0.5, and 1.0). Results summarized in Table 3 illustrate that simpler loss functions such as MSE and FN-loss underperformed notably, with MSE consistently lowest due to its exclusive pixel-level error penalization, which leads to

Tab. 3. Performance comparison of different loss functions.

Loss Function	SSIM LOL-v1	PSNR LOL-v1	SSIM LOL-v2-real	PSNR LOL-v2-real	SSIM LOL-v2-synth	PSNR LOL-v2-synth
LYT	<b>0.829</b>	21.43	<b>0.834</b>	22.55	<b>0.897</b>	22.46
LPIPS (0.1)	0.827	<b>21.77</b>	0.826	<b>22.60</b>	<b>0.897</b>	22.42
LPIPS (0.5)	0.789	21.13	0.827	22.32	0.895	<b>22.58</b>
LPIPS (1.0)	0.789	21.05	0.799	20.46	0.871	21.72
FN-loss	0.798	21.41	0.809	21.09	0.882	22.11
MSE	0.675	19.27	0.722	18.12	0.832	19.00

Tab. 4. NIQE and BRISQUE scores for the four loss functions (lower is better).

Loss	Dataset	NIQE	BRISQUE
MSE	LOL-v1	5.20	19.26
MSE	LOL-v2-real	5.46	20.90
MSE	LOL-v2-synth	5.02	15.84
FN-Loss	LOL-v1	7.14	22.56
FN-Loss	LOL-v2-real	7.36	25.78
FN-Loss	LOL-v2-synth	6.30	17.36
LYT	LOL-v1	5.79	15.36
LYT	LOL-v2-real	6.16	18.00
LYT	LOL-v2-synth	5.85	16.42
LPIPS	LOL-v1	5.55	17.18
LPIPS	LOL-v2-real	5.97	19.23
LPIPS	LOL-v2-synth	5.58	16.08

overly smooth and detail-deficient images. Conversely, LYT and LPIPS-based losses yielded the highest results, largely attributed to their composite nature – incorporating pixel-wise accuracy, perceptual quality, structural similarity, and colour fidelity, thus better aligning with human visual preferences.

With the architecture held constant (embed = 512, depths 2-4-8-2) and the same data strategy, LPIPS at a small weight (0.1) slightly increased PSNR relative to LYT while keeping SSIM essentially unchanged: +0.34 dB / −0.002 (LOL-v1) and +0.05 dB / −0.008 (LOL-v2-real); results on LOL-v2-synth were virtually tied (−0.04 dB / 0.000). Heavier LPIPS weights (0.5–1.0) reduced effectiveness, emphasizing the importance of balancing perceptual and pixel-level constraints. These comparisons show that while architectural capacity dominates fidelity (SSIM/PSNR), a lightly weighted LPIPS term can nudge optimization toward slightly better PSNR without sacrificing SSIM.

The four representative checkpoints were re-evaluated with the no-reference perceptual metrics NIQE [23] and BRISQUE [22] (Tab. 4). NIQE measures the deviation of an image’s natural-scene statistics from a model learned on pristine photographs, whereas BRISQUE regresses locally normalized luminance and contrast statistics to subjective quality scores. Lower values in both cases correspond to higher perceptual quality.

Across the entire evaluation spectrum, LYT and LPIPS deliver noticeably better NIQE and BRISQUE scores than the multi-component FN-Loss of Feng et al., combining  $L_1$ , edge, and perceptual terms in both sRGB and HVI colour spaces. LPIPS attains the lowest NIQE values among the perceptual objectives, whereas LYT secures the best BRISQUE on LOL-v1 and LOL-v2-real, with LPIPS edging ahead on the synthetic subset. Because the architecture was fixed in these comparisons, these perceptual gains can be attributed primarily to the loss design.

Surprisingly, the plain MSE loss performs very competitively – particularly on LOL-v2-synth, where it records the overall best NIQE of 5.02. This suggests that strict pixel fidelity can suppress subtle non-linear artefacts sometimes introduced by perceptual losses; such artefacts are often imperceptible to the human eye yet penalised by statistical quality metrics. In summary, perceptually driven losses (LYT and LPIPS) still provide clear gains over FN-Loss, but a well-tuned MSE baseline remains a strong contender when judged solely by no-reference measures.

Detailed training convergence (Fig. 1) shows that, under the same architecture, the LYT loss and LPIPS with weight 0.1 both stabilize training and maintain superior PSNR/SSIM across epochs, with LPIPS slightly stronger in later epochs. Increasing the LPIPS weight reduces effectiveness, underscoring the need to balance perceptual and pixel-level terms. FN-Loss converges more gradually but remains competitive, whereas MSE lags throughout. Convergence plateaus appear around epoch 90.

Taken together, the ablations make the source of possible improvements explicit: most SSIM/PSNR gains come from scaling the Swin-Unet architecture (e.g., up to +1.62 dB PSNR when increasing depth at embed = 512), while perceptual gains (NIQE) are predominantly induced by the LPIPS-based loss when the architecture is fixed. The best

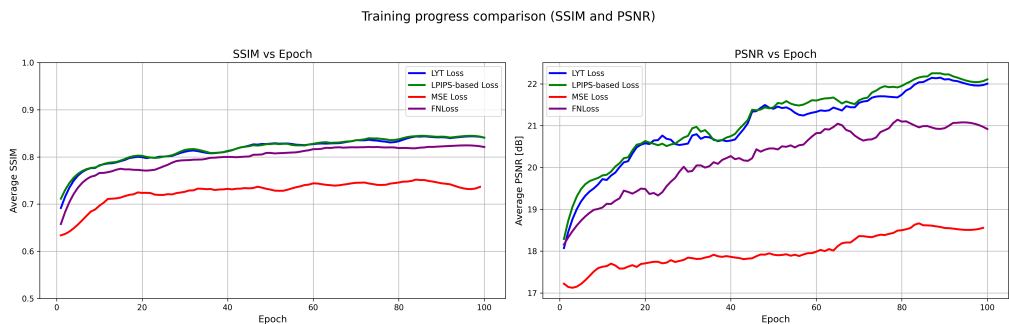


Fig. 1. Validation PSNR and SSIM versus training epochs. Legend: LYT Loss (blue), LPIPS-based loss with weight 0.1 (green), FN-Loss (purple), and MSE Loss (red). Curves are smoothed; metrics are computed after each epoch on the full validation set comprising SID (darkest exposure), LOL-v1, and the real and synthetic subsets of LOL-v2.

results arise from their combination – adequate model capacity paired with a modest LPIPS weight – yielding images that are both faithful and perceptually convincing.

These comprehensive results underscore the importance of model capacity, appropriate dataset integration, and carefully chosen composite loss functions in achieving high-quality, perceptually convincing low-light image enhancement; a visual comparison of our model’s outputs with the reference images is provided in Figure 2.

On a per-dataset basis, holding the loss fixed (LYT) and increasing capacity from depths 2-4-6-2 to 2-4-8-2 at embed = 512 yields  $\Delta\text{PSNR}/\Delta\text{SSIM}$  of +1.43/ + 0.067 (LOL-v1), +0.99/ + 0.031 (LOL-v2-real), and +1.62/ + 0.014 (LOL-v2-synth). With the architecture fixed, LPIPS(0.1) improves NIQE vs. LYT by 0.24 (5.55 vs. 5.79, LOL-v1), 0.19 (5.97 vs. 6.16, LOL-v2-real), and 0.27 (5.58 vs. 5.85, LOL-v2-synth); BRISQUE favors LYT on real images (15.36 vs. 17.18; 18.00 vs. 19.23), while LPIPS is slightly better on synthetic (16.08 vs. 16.42). Although MSE attains a strong NIQE on LOL-v2-synth (5.02), it lags markedly in SSIM/PSNR across datasets. For data integration, selecting the single darkest SID exposure per scene is the most consistent strategy on real benchmarks; random selection can score higher on synthetic data but is less stable overall.

In practice, a compact recipe emerges: embed = 512 with depths 2-4-8-2, training on SID (single darkest) and a light LPIPS weight (0.1). Heavier LPIPS weights (0.5–1.0) reduce fidelity and stability, and convergence plateaus around epoch 90, after which early stopping is beneficial. Qualitatively (Fig. 2), this setting mitigates colour shifts and preserves edges, with only minor brightness deviations relative to ground truth.

### 3.2. Comparison with other algorithms

The quantitative comparison of our best-performing model – Swin-Unet trained with the proposed LPIPS-based loss function – is presented in Table 5. Although the model employing the LYT loss achieved similar performance, we prioritize the LPIPS-based approach as it introduces a novel perceptual component specifically tailored to low-light image enhancement. Furthermore, since the LPIPS-based loss was explicitly designed and proposed within this work, it more clearly represents our contributions.

From the results, it is evident that our Swin-Unet architecture achieves competitive but somewhat lower quantitative performance compared to state-of-the-art methods on all considered LOL datasets. Specifically, our best model achieved PSNR and SSIM of 21.77 dB and 0.827 on LOL-v1, 22.60 dB and 0.826 on LOL-v2-real, and 22.42 dB and 0.897 on LOL-v2-synthetic. In contrast, leading architectures such as CIDNet-op [8], RetinexFormer [2], and LYT-Net [1] consistently surpass these metrics across all benchmarks, reaching PSNR values around 28 dB and SSIM over 0.88 in many cases.

These observed discrepancies may suggest that the Swin-Unet architecture – originally proposed for medical image segmentation – might not be optimal in capturing the

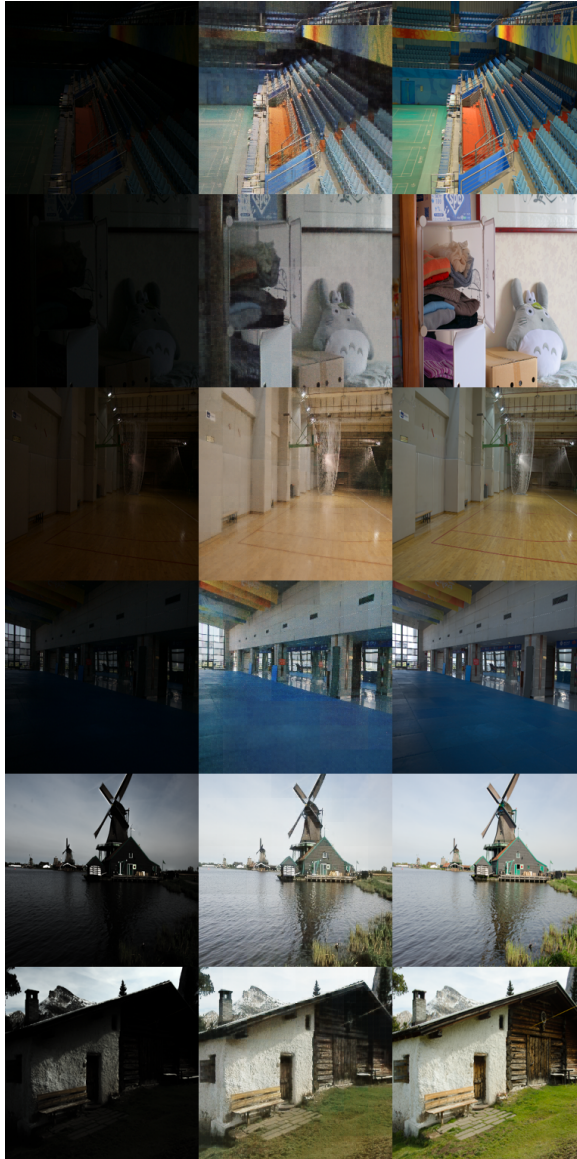


Fig. 2. Qualitative comparison layout and data sources. Columns: left – low-light inputs; centre – outputs from the model trained with an LPIPS-weighted loss; right – corresponding well-exposed ground-truth images. Rows: 1–2 from LOL-v1; 3–4 from LOL-v2-real; 5–6 from LOL-v2-synth. Images are randomly selected examples from the LOL family.

Tab. 5. Quantitative results on LOL datasets.

Methods	PSNR (LOL-v1)	SSIM (LOL-v1)	PSNR (LOL-v2-real)	SSIM (LOL-v2-real)	PSNR (LOL-v2-syn)	SSIM (LOL-v2-syn)
SID [4]	14.35	0.436	13.24	0.442	15.04	0.610
3DLUT [47]	21.35	0.585	20.19	0.745	22.17	0.854
Zero-DCE [11]	14.86	0.540	13.65	0.246	21.46	0.848
EnlightenGAN [15]	17.48	0.650	18.23	0.617	—	—
KinD [51]	20.87	0.800	20.40	0.652	16.26	0.591
KinD++ [49]	21.30	0.820	20.15	0.678	19.44	0.830
Bread [12]	22.96	0.840	22.54	0.762	19.28	0.831
IAT [6]	23.38	0.810	21.43	0.638	19.18	0.813
HWMNet [7]	24.24	0.850	22.40	0.622	18.79	0.817
LLFlow [35]	24.99	0.920	21.60	0.643	19.15	0.860
DeepUPE [33]	14.38	0.446	13.27	0.452	15.08	0.623
DeepLPE [24]	15.28	0.473	14.10	0.480	16.02	0.587
UFormer [36]	16.36	0.771	18.82	0.771	19.66	0.871
RetinexNet [37]	18.92	0.427	18.32	0.447	19.09	0.774
Sparse [42]	17.20	0.640	20.06	0.816	22.05	0.905
EnGAN [15]	20.00	0.691	18.23	0.617	16.57	0.734
FIDE [39]	18.27	0.665	16.85	0.678	15.20	0.612
Restormer [46]	26.68	0.853	26.12	0.853	25.43	0.859
LEDNet [53]	25.47	0.846	27.81	0.870	27.37	0.928
SNR-Aware [40]	26.72	0.851	27.21	0.871	27.79	0.941
LLFormer [34]	25.76	0.823	26.20	0.819	28.01	0.927
RetinexFormer [2]	27.14	0.850	27.69	0.856	28.99	0.939
CIDNet-wP [8]	27.72	0.876	<b>28.13</b>	<b>0.892</b>	29.37	<b>0.950</b>
CIDNet-wP [8]	<b>28.14</b>	0.889	27.76	0.881	<b>29.57</b>	<b>0.950</b>
ADLUT [32]	14.77	0.458	18.19	0.745	18.92	0.838
IPT [5]	16.27	0.504	19.80	0.813	18.30	0.811
Band [41]	20.13	0.830	20.29	0.831	23.22	0.927
LPNet [18]	21.46	0.802	17.80	0.792	19.51	0.846
SNR [40]	24.61	0.842	21.48	0.849	24.14	0.928
LLIE [20]	25.24	0.855	25.94	0.854	27.79	0.941
PyDiff [52]	27.09	<b>0.930</b>	24.01	0.876	19.60	0.878
MIRNet [45]	26.52	0.856	27.17	0.865	25.96	0.898
LYT-Net [1]	27.23	0.853	27.80	0.873	29.38	0.940
Ours Swin-Unet (LPIPS-based)	21.77	0.827	22.60	0.826	22.42	0.897

specific features necessary for low-light image enhancement. However, despite somewhat lower quantitative results, the Swin-Unet architecture presents certain distinct advantages. Its pure transformer-based design effectively leverages global context modelling through self-attention mechanisms, enabling a strong representation of both local details and long-range dependencies simultaneously. Moreover, the architecture is relatively straightforward, highly modular, and significantly easier to train and fine-tune compared to more complex multi-stage architectures, such as those incorporating diffusion models or hybrid convolution-transformer networks.

Another key advantage of our model is computational efficiency and flexibility. While it is plausible that utilizing a larger-scale Swin-Unet network (e.g., deeper or wider variants) could potentially yield better quantitative performance, our experimental investigation was limited by available computational resources and time constraints. Therefore, an extensive exploration of larger models was beyond the scope of this work.

Nonetheless, the performance achieved demonstrates the viability and potential of the Swin-Unet approach – especially when paired with novel perceptual losses such as our LPIPS-based formulation. Given its favorable balance between complexity, computational efficiency, and respectable image enhancement quality, Swin-Unet remains an attractive candidate for further exploration, potentially yielding improved performance if scaled appropriately.

#### 4. Conclusions and contributions

This study set out to verify whether a compact, single-stage Swin-Unet can remain competitive in extremely low-light conditions once supervision is shifted from purely pixel-based criteria to a perceptually oriented objective. The network we employed – an off-the-shelf Swin-Unet restricted to an embedding width of 512 and an encoder–decoder depth pattern of 2-4-8-2 – was purposefully kept small: with batch size one it already saturates the memory of a single RTX 4090, and shortening turnaround times was essential for running the nine-by-four grid of capacity-and-loss experiments reported throughout the paper. Within these resource limits several contributions emerge.

First, the composite loss that blends LPIPS, Smooth- $L_1$ , MS-SSIM, inverted PSNR, colour mean and gradient consistency proves almost as effective as the far more elaborate LYT objective when both are applied to the same Swin-Unet backbone; on LOL-v1 and LOL-v2-real the two formulations reach virtually identical SSIM, while the LPIPS variant shows a slight PSNR advantage on two of the three benchmark splits. This confirms that loss design can close much of the perceptual gap even when architectural capacity is modest.

Second, the paper offers what is, to our knowledge, the first transformer-only baseline that covers LOL-v1, LOL-v2-real, LOL-v2-synthetic and SID under a single, fully documented training protocol; future work can therefore compare new transformer variants against numbers that are not confounded by convolutional extras or multi-branch tricks.

Third, the SID ablation confirms that keeping only the darkest exposure of each scene yields more dependable generalisation than either random or multi-exposure sampling – an observation that simplifies dataset preparation and, to our knowledge, had not been quantified before. The study also clarifies the limitations of our approach. Even the strongest configuration trails recent diffusion or multi-branch systems by roughly 5–6 dB in PSNR and a few hundredths in SSIM; visual inspection further reveals occasional smoothing of fine texture, most notably in areas dominated by read-noise. These deficits likely stem from choices that remained arbitrary because of limited time and compute – for example, the fixed LPIPS weight, the  $7 \times 7$  shifted-window size, and the cap on embedding width. A wider sweep over those hyper-parameters, combined with experiments on deeper or broader Swin backbones, appears the most direct route to closing the remaining performance gap.

In short, although the model remains below the current state of the art, the study shows that a judiciously balanced perceptual loss can bring a compact Swin-Unet within striking distance of results obtained with far more elaborate objectives, establishes a clean transformer-only benchmark for future scaling studies, and uncovers a simple luminance-based strategy for sampling SID that reliably improves generalisation – insights that will help subsequent research allocate computational resources where they matter most.

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# ADAPTATION ART IMAGE STYLE TRANSFER BY INTEGRATING CSDA-FD ALGORITHM AND OSDA-DS ALGORITHM

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**Abstract** Traditional domain adaptation learning methods have a strong dependence on data labels. The transfer process can easily lead to a decrease in training set performance, affecting the effectiveness of transfer learning. Therefore, this study proposes a domain adaptation model that combines feature disentangling and disentangling subspaces. The model separates the content and style features of images through disentangling, effectively improving the quality of image transfer. From the results, the proposed feature disentangling algorithm achieved pixel accuracy of over 84% for semantic segmentation of 14 categories, including roads, sidewalks, and buildings, with an average pixel accuracy of 85.2%. On the ImageNet, the precision, recall,  $F_1$  score, and overall accuracy of the research algorithm were 0.942, 0.898, 0.854, and 0.841, respectively. Compared with the One-Class Support Vector Machine, the precision, recall,  $F_1$ , and overall accuracy were improved by 8.4%, 10.3%, 27.8%, and 10.9%, respectively. The proposed model can accurately recognize and classify images, providing effective technical support for image transfer.

**Keywords:** image style transfer; deep domain adaptation; feature disentangling; domain shift.

## 1. Introduction

Image Style Transfer (IST) is a crucial research direction in computer vision and image processing, which originates from in-depth exploration of artistic creation and image processing techniques [23]. Driven by computer technology, people have begun to try to combine computer technology with artistic creation, using algorithms to simulate and implement image rendering of different art styles, thereby creating new works [18]. In recent years, deep learning has shone brightly in IST. Convolutional Neural Networks (CNN) can precisely extract content and style features, and combined with Generative Adversarial Networks (GAN) to generate realistic images. Deep learning techniques have achieved seamless transfer of style from one image to another while preserving the original content [7]. This technology is not only widely used in fields such as art creation, film special effects, and game design, but also promotes the deep integration of technology and art, bringing unprecedented creativity and possibilities to image processing [30]. However, the deep learning method has a strong dependence on data labels, and requires training and test samples to meet the same spatial distribution, which makes it have poor generalization ability when facing massive Internet data. Therefore, some scholars have proposed the Deep Domain Adaptation (DDA) method, which combines domain adaptation and deep learning theories. This method can effectively solve distribution differences between the Source Domain (training dataset, SD) and the Target

Domain (testing or application dataset, TD). It is widely used in fields such as natural language processing and image transfer [10]. However, current domain adaptation learning still faces problems such as performance degradation in the training set due to transfer processes and difficulty in separating unknown categories in open domains [19]. The research considers two aspects: close set domain and open set domain. A domain adaptation model that integrates Close Set Domain Adaptation by Feature Disentangling (CSDA-FD) and Open Set Domain Adaptation by Disentangling Subspace (OSDA-DS) is proposed. This model separates content and style features through learnable weights and introduces a Domain Shift (DS) to make the model lighter, thereby improving the style transfer accuracy. The research aims to enhance the accuracy and adaptability of adaptation learning methods, improve the transferability of adaptation models, and provide innovative and more effective solutions for artistic IST. There are two main innovations. The first is to integrate CSDA-FD and OSDA-DS, and construct a domain adaptive model from both closed domain and open domain perspectives, providing a new solution for artistic IST. The second is to introduce a DS in the CSDA-FD algorithm, which preserves important information of the source domain image during the transfer learning process, effectively solving the decreased training set performance caused by the transfer process in traditional domain adaptive learning.

The remaining part of this research is structured in four sections. The Section 2 introduces the current research on IST and DDA methods worldwide. The Section 3 introduces the construction process of the proposed deep domain adaptation model. In Section 4 the experiments are conducted to verify its feasibility. The last Section 5 summarizes and discusses the paper, pointing out the shortcomings and future prospects.

## 2. Related work

IST is a computer vision technique that allows the style of one image to be applied to another one while preserving the main features and details of the content image [3]. With the rapid development of computer technology, deep learning techniques have been extensively applied to IST and have made significant progress. Liao and Huang [12] built a semantic guided IST based on matching regions to address the semantic region matching caused by the mismatch between content and style image object categories. This method achieved semantic aware style transfer by performing semantic context matching and combining it with a hierarchical local to global network architecture. Lin et al. [13] proposed an IST based on semantic segmentation to address the semantic mismatch in IST. The algorithm automatically extracted semantic information from images, and used this information to guide style transfer, effectively solving the semantic mismatch. Li et al. [11] built a GAN to address the diverse types and inconsistent distribution of low-dose scanning image noise generated by different commercial scanners. The network extracted noise patterns by performing noise encoding and fusing it into the generator, effectively improving the feasibility and denoising performance of low-dose scanning

image synthesis. Ma et al. [16] proposed a parental and force embedding network to address the semantic alignment between style and content in IST. This network achieved semantic embedding of local style patterns by jointly modeling feature associations and semantic correspondences, improving the visual quality and computational efficiency of style transfer.

DDA is a technique in deep learning aimed at solving the inconsistent data distribution between the SD and TD. Deep domain adaptation methods have wide applications in transfer learning, image recognition, natural language processing, and other fields, especially in situations where data annotation costs are high or data acquisition is difficult. These methods can significantly optimize the generalization ability. Therefore, some scholars have explored. Wu et al. [26] proposed an enhanced adaptation network to address scarce TD labels in partial domain adaptation. The network optimized the source data selection strategy through a deep reinforcement learning model and combined domain adaptation techniques to automatically filter out irrelevant source data, thereby effectively improving the accuracy and generalization ability of domain adaptation. Liu et al. [14] built a three-stage unsupervised domain adaptation strategy to address the difficulty and uneven distribution of pixel annotation datasets in remote sensing image semantic segmentation. This method enhanced the correlation between feature map channels through covariance channel attention modules, significantly improving the accuracy image semantic segmentation. Shermin et al. [21] built an adversarial domain adaptation model to address the knowledge transfer problem from a finite class SD to a multi-class TD in Open Set Domain Adaptation (OSDA). The model introduced a multi-classifier structure and weighting module to distinguish between known and unknown target samples, improving the accuracy and adaptability of OSDA. In response to the unavailability of TD labels and neglected class information in traditional methods for unsupervised domain adaptation, Kang et al. [9] built a comparative adaptation network, which designed an alternating update strategy and class aware sampling method. By optimizing new indicators, the network effectively simulated intra-class and inter-class domain differences, achieving unsupervised domain adaptation optimization.

In summary, some scholars have explored the style transfer of artistic images from the perspective of deep learning and have made meaningful progress. However, despite the significant theoretical advantages of deep domain adaptation methods in image transfer, there are still not enough practical cases to combine the two. Domain adaptation learning still faces problems such as decreased training set performance during the transfer process and difficulty in classifying unknown categories in open domains. This study innovatively proposes a domain adaptation model that integrates the CSDA-FD and the OSDA-DS. From the perspectives of close set domain and open set domain, a new domain adaptation learning method is designed and applied to IST to improve the accuracy and applicability of image transfer.

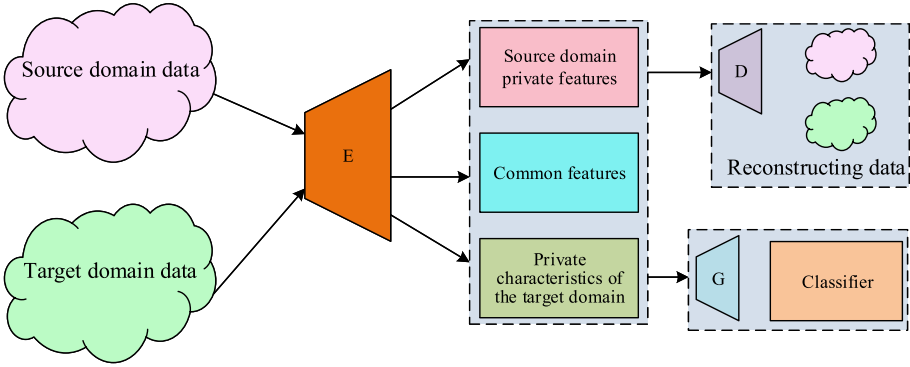


Fig. 1. The process of feature disentangling.

### 3. Domain adaptation model integrating feature disentangling and disentangling subspace

The domain adaptation method includes two types: close set domain and open set domain. Firstly, a detailed introduction is given to the CSDA-FD. Then, for the adaptation learning problem in the open set domain, the OSDA-DS algorithm is built.

#### 3.1. Close set domain adaptation algorithm based on feature disentangling

Close Set Domain Adaptation (CSDA) is a subproblem in transfer learning that assumes that all categories in the SD and TD are known and identical [27]. This means that there are no new or disappearing categories between the SD and the TD during domain adaptation. In domain adaptation learning, Feature Disentangling (FD) is a commonly used method that can optimize the generalization ability [2]. However, although the category labels in CSDA are the same as those of the SD, current feature disentangling methods still suffer from performance degradation in the training set in transfer learning [5]. Therefore, the research is conducted to optimize feature disentangling. The novel CSDA-FD is proposed. Feature disentangling refers to decomposing features into simpler components, typically including task related features and irrelevant features [15]. Feature disentangling is to separate features that remain unchanged in both the SD and TD (domain invariant features) from features that only change in a specific domain (domain specific features) [25]. In this way, the model can better understand and adapt to data distributions in different fields, thereby improving the generalization ability.

The process of feature disentangling is shown in Figure 1. Firstly, it obtains the universal attributes of data by identifying cross-domain shared features. Secondly, it focuses on extracting features that only appear in a specific domain to capture unique

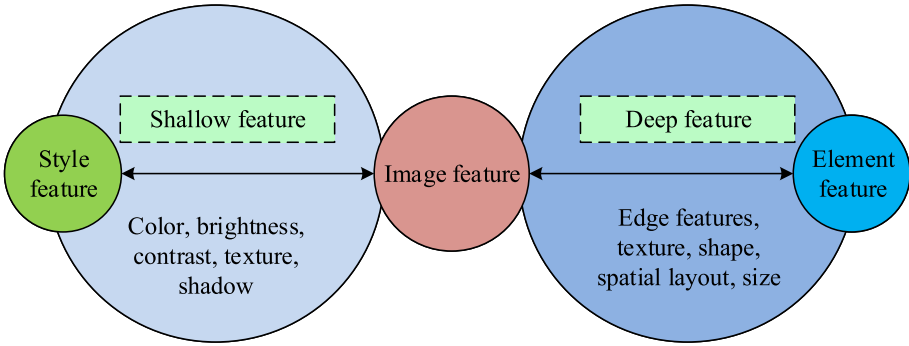


Fig. 2. Style features and content features.

information in that domain. This processing method helps the model to effectively transfer and apply knowledge between different fields. E signifies Feature Extractor, which is responsible for extracting features from both SD data and TD data. D is a feature Disentangler, which decomposes the extracted features into private features and common features. G is a Generator used to generate new data samples for training classifiers. Through feature disentangling, the model can learn features that are useful for both domains while reducing negative impacts caused by inter domain differences.

To better apply feature disentangling to IST, the concepts of *style features* and *content features* are introduced to decompose the features, as shown in Figure 2. Style features refer to the features related to artistic expression techniques, color tones, color distribution, and overall visual perception in an image. These features define the artistic style of the image, including brushstrokes, color usage, brightness, and contrast. Content features refer to the information directly related to the entity or scene represented by the image in the image, which usually includes the basic visual elements of the image, such as edges, textures, shapes, and recognition information of objects. Content features are the semantic core of an image, which helps identify the main objects and scenes in the image.

The image disentangling process based on the style and content features is shown in Figure 3. The image disentangling process based on style and content features is similar to the conventional feature disentangling process. The difference is that the disentangler decomposes the extracted features into style features and content features. Style features involve the visual style information of the image, while content features contain the structural and semantic information. The generator receives disentangled features and generates new images, which can be created based on the style features of the TD and the content features of the SD. The reconstructed image of the TD is the final output, which has the style features of the TD and the content features of

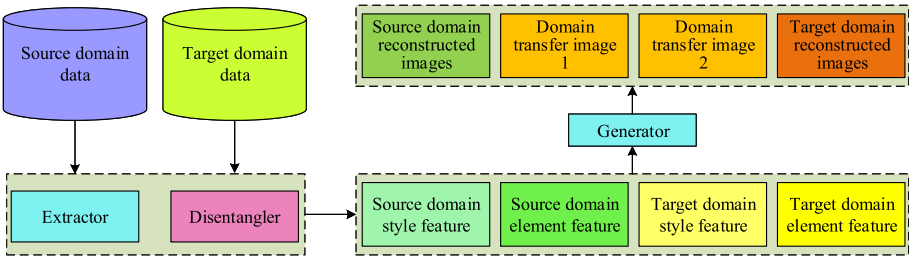


Fig. 3. Feature disentangling into processes for style and content features.

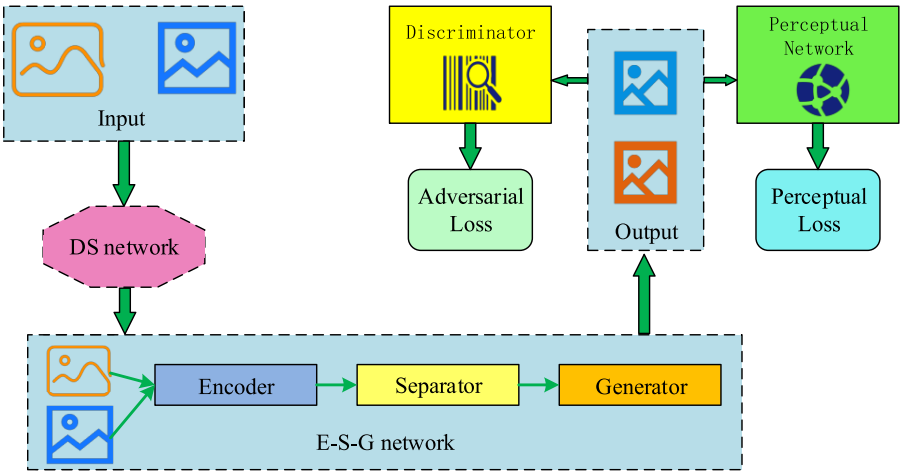


Fig. 4. Structure of close set domain adaptation algorithm based on feature disentangling.

the SD. The entire process is to separate and recombine image features through feature disentangling, so that the image can exhibit a new style while maintaining its original content.

To solve the performance degradation of the training set during the migration process, a DS network is introduced to optimize the accuracy of the SD and TD. The CSDA-FD is displayed in Figure 4. The CSDA-FD consists of a DS, Encoder (E), feature Separators (S), Generator, Discriminator (D), and Perceptual Network (PN). After the original images are input into the network, DS processes them and preserves important information to maintain the model’s classification ability for the SD. Next, the encoder extracts the features of these images, and the feature separator divides the image features into content features and style features. The generator maps features into the image space. PN is applied to extract perceptual features of images and constrain content and

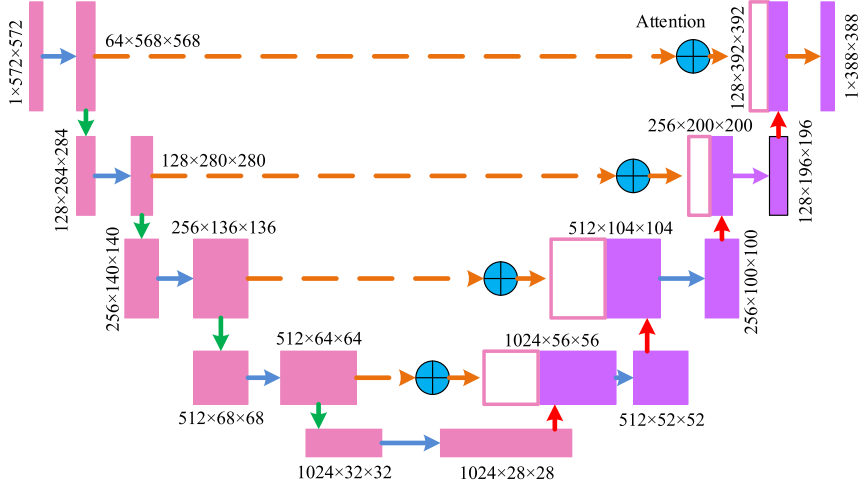


Fig. 5. Structure of domain shift.

style similarity. This step is achieved through pre-training. Adversarial losses during training are applied through discriminators.

The DS is displayed in Figure 5. The DS adopts a U-shaped network structure, which is similar to a “U” shape in architecture. Therefore, it is named *U-net*. It has a contraction path (encoder) and an expansion path (decoder), which undergo convolution and nonlinear activation operations at each stage [4]. The input size of U-net is  $1 \times 572 \times 572$ , and the output is  $1 \times 388 \times 388$ . The encoder section consists of five convolutional blocks, the first four of which are composed of convolutional layers, ReLU activation functions, and a  $2 \times 2$  max pooling layer with a stride of 2. The convolutional layer use a  $3 \times 3$  filter. The fifth convolutional block consists of convolutional layers and ReLU activation functions. The decoder section consists of four up-sampling blocks, each consisting of an up-sampling layer, a convolutional layer, a ReLU activation function, and skip connections. The convolutional layer uses a  $3 \times 3$  filter. The output layer uses  $1 \times 1$  convolution to convert the  $64 \times 392 \times 392$  feature map into an output image of  $1 \times 388 \times 388$ . The condition satisfied by the DS is displayed in equation (1)

$$Y(I_{\text{DSB}}) \sim Y(I_A), Y(I_{\text{DSA}}) \sim Y(I_A), \quad (1)$$

where  $Y(I_{\text{DSB}})$  signifies the output of the TD image  $I_{\text{DSB}}$  processed by DS.  $Y(I_A)$  signifies the output of the SD image  $I_A$ . Similarly,  $Y(I_{\text{DSA}})$  represents the output of the SD image  $I_{\text{DSA}}$  processed by the DS. DS is constrained, as shown in equation (2)

$$I_{\text{DSA}} \sim I_A, I_{\text{DSB}} \sim I_A. \quad (2)$$

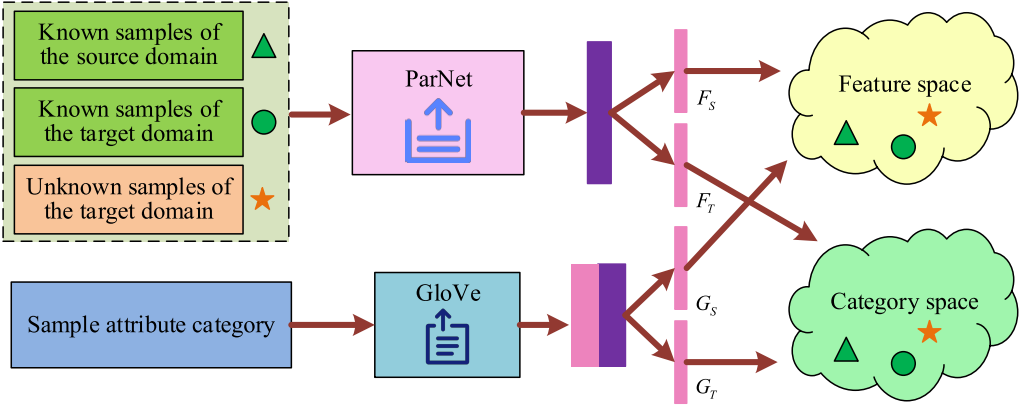


Fig. 6. Structure of OSDA-DS.

The study takes a loss function to deceive the discriminator in the model, as shown in equation (3)

$$L_{DS}^d = E[y_a \log(D(x_a)) + y_b \log(D(x_b))], \quad (3)$$

where  $L_{DS}^d$  represents the domain adaptation loss function.  $E$  represents the expected value.  $x_a$  and  $y_a$  are the sample data and label data of the SD, respectively.  $x_b$  and  $y_b$  are the same.  $D(x_a)$  and  $D(x_b)$  represent the outputs of the discriminator for the input of SD and TD. To make the TD image closer to the SD image, DS retains the perturbation changes in the image during training, as shown in equation (4)

$$I_{DSB} = I_B + j, \quad (4)$$

where  $j$  represents the perturbation change of the TD image.

### 3.2. Disentangling the subspace adaptation learning algorithm in open set domain

CSDA-FD effectively separates the content and style features through nonlinear disentangling, significantly improving the adaptability of the model in close set domain scenarios. However, domain adaptation problems in the real world are often more complex, especially when the TD contains unknown categories, that is, open set domains, which make feature disentangling more difficult to separate the TD [8]. To address this challenge, the OSDA-DS is proposed. This algorithm achieves precise separation of known and unknown categories by constructing a disentangled subspace of features and categories, making a breakthrough in the field of OSDA learning.

The OSDA-DS structure is shown in Figure 6. OSDA-DS performs feature extraction

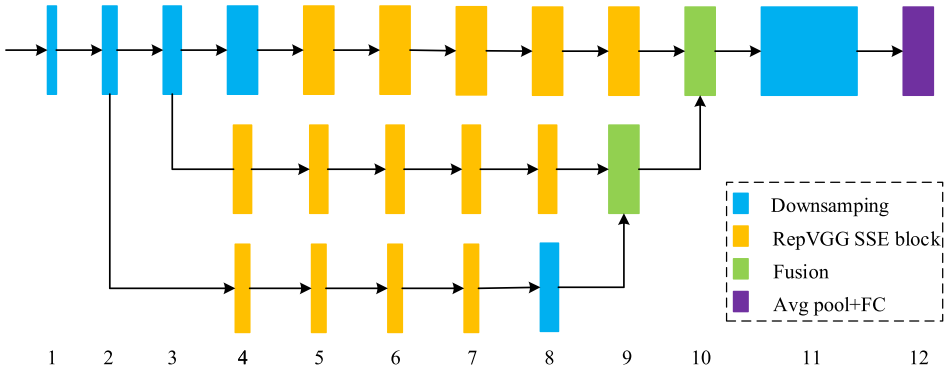


Fig. 7. Structure of ParNet.

through a Parallel Network structure (ParNet), and then maps it to a feature subspace to generate feature vectors  $F_s$  and  $F_t$ . The Global Global Vectors for Word Representation (GloVe) model is used to encode the textual descriptions of the sample category and map them to semantic feature vectors  $G_s$  and  $G_t$  in the category subspace. The study takes ParNet for feature extraction, which employs a parallel subNet structure to effectively reduce depth while maintaining high performance, effectively preventing the gradient explosion caused by excessive depth [6].

The ParNet is displayed in Figure 7. The network depth is 12, and the initial layer consists of a series of down-sampling blocks. The outputs of down-sampling blocks 2, 3, and 4 are sent to streams 1, 2, and 3, respectively [29]. 3 is the optimal number of streams for a given parameter budget. Each stream is composed of some representative visual blocks with visual attention mechanisms. These blocks process these features at different resolutions, and then use Fusion connections to fuse features from different streams [17]. Finally, the output is passed to the down-sampling block at depth 11. A disentangled subspace based on the feature distribution obtained from ParNet is constructed. The mapping network includes linear layers and activation layers, with output and subspace dimensions set to 200. The existence and learnability of mapping functions are crucial in domain adaptive learning. According to the general approximation theorem, neural networks such as multi-layer perceptrons can approximate any continuous function with arbitrary accuracy, providing theoretical support for mapping functions. Under the reasonable assumption of continuity between feature space and subspace, and continuity of mapping function, there exists a mapping function that can effectively capture the complex relationships between features and achieve accurate mapping from features to subspace. The mapping function maps the features extracted by ParNet to the feature space and the category space, as shown in equation (5)

$$\begin{cases} \alpha_a^S = F_S(x_a), \alpha_b^S = F_S(x_b), \alpha_c^S = F_S(x_c), \\ \alpha_a^T = F_T(x_a), \alpha_b^T = F_T(x_b), \alpha_c^T = F_T(x_c), \end{cases} \quad (5)$$

where  $\alpha_a^S, \alpha_b^S, \alpha_c^S$  and  $\alpha_a^T, \alpha_b^T, \alpha_c^T$  are both mapped visual features, with the former in the feature space and the latter in the category space. After mapping the function, the distribution of visual features is readjusted to reduce the differences in feature space caused by categories, making the model more sensitive and enhancing the discriminative ability. In terms of learnability, appropriate loss functions are designed and optimization algorithms such as gradient descent are used to optimize the parameters of the mapping function, which can gradually approach the true feature mapping relationship. Under certain conditions, optimization algorithms can ensure that the learning process of the mapping function converges to a local or global optimal solution, thereby ensuring the learnability of the mapping function.

To achieve the disentangling function of mapping, a distributed loss function is constructed, as shown in equation (6)

$$\begin{cases} L_{\text{dist}} = L_{\text{dist}}^S + L_{\text{dist}}^T, \\ L_{\text{dist}}^S = \max(0, d(\alpha_a^S, \alpha_b^S) - d(\alpha_b^S, \alpha_c^S) + m), \\ L_{\text{dist}}^T = \max(0, d(\alpha_a^T, \alpha_c^T) - d(\alpha_a^T, \alpha_b^T) + m), \end{cases} \quad (6)$$

where  $L_{\text{dist}}$  represents the total domain distance loss, which consists of the domain distance loss  $L_{\text{dist}}^S$  in the feature space and the domain distance loss  $L_{\text{dist}}^T$  in the category space.  $d(\cdot, \cdot)$  is a distance function used to calculate the distance between features.  $m$  is a margin used to promote greater separation of features between different categories. The loss function further enhances the discriminative ability and optimizes the ability to distinguish differences between different categories. GloVe is used to extract the semantic features and can work synchronously with mapping networks. This model is a word embedding model based on global statistical information, proposed by researchers at Stanford University [20]. It learns the vector representation of vocabulary by analyzing the co-occurrence information of vocabulary in large-scale text corpora [22]. The GloVe is to use the co-occurrence matrix of vocabulary and obtain the low dimensional vector representation of vocabulary through matrix decomposition [1]. These vectors capture the semantic and syntactic relationships between words, allowing semantically similar words to approach each other in the vector space [28]. The training process of GloVe is relatively easy to parallelize, with fast training speed and the ability to utilize global information, which makes it perform well on small datasets [24]. GloVe projects the mapping onto the disentangled subspace to further enhance the model performance, as shown in equation (7)

$$\begin{cases} \beta_a^S = G_S(z_a), \beta_b^S = G_S(z_b), \beta_c^S = G_S(z_c), \\ \beta_a^T = G_T(z_a), \beta_b^T = G_T(z_b), \beta_c^T = G_T(z_c), \end{cases} \quad (7)$$

where  $\beta_a^S, \beta_b^S, \beta_c^S$  represent the semantic features of the feature space obtained by transforming sample  $z_a, z_b, z_c$  in the SD through the mapping function  $G_S$  of the SD. Similarly,  $\beta_a^T, \beta_b^T, \beta_c^T$  represent the semantic features of the category space obtained by transforming the same samples in the TD through the mapping function  $G_T$  of the TD. This study constructs a new correlation loss function, aiming to align features and semantic information in the subspace. The correlation loss function is shown in equation (8)

$$\begin{cases} L_{\text{dist}}^S = L_{\text{con}}^S + L_{\text{con}}^T, \\ L_{\text{con}}^S = \max(0, d(\alpha_a^S, \beta_a^S) - d(\alpha_a^S, \beta_b^S) + m), \\ L_{\text{con}}^T = \max(0, d(\alpha_a^T, \beta_a^T) - d(\alpha_a^T, \beta_b^T) + m), \end{cases} \quad (8)$$

where  $L_{\text{con}}^S$  represents the loss function of the feature space, and  $L_{\text{con}}^T$  represents the loss function of the category space. The association between these two functions establishes a connection between visual and semantic features, and enables objects of the same type to have a common mapping area. By constructing an association loss function, it is possible to effectively connect visual and semantic features, thereby more accurately identifying the features and categories of unknown test samples in two different subspaces. The model cleverly projects the semantic features of the labels onto the disentangled subspace through two mapping functions. This process not only achieves effective separation between visual features and categories, but also deeply explores the intrinsic connections between the two. In the disentangled subspace, two subspaces are carefully constructed, which comprehensively optimize visual features and categories respectively, and deeply understand the relationship between the two. This design classifies features and categories more accurately on the test set when dealing with unknown samples, significantly improving performance. The collaborative optimization of the two subspaces further enhances the accuracy and generalization ability, making it more adept at handling complex tasks.

#### 4. Experimental verification and analysis of deep domain adaptation model

In Section 2, this study provides a detailed introduction to the construction process of the domain adaptive model that integrates feature decoupling and decoupling subspaces, including the detailed structure and implementation mechanisms of CSDA-FD and OSDA-DS. Next, to verify the effectiveness and superiority of the proposed model, a series of experiments are conducted to validate and analyze the model. To verify the effectiveness of the domain adaptation model fusing the CSDA-FD and the OSDA-DS, the performance of the domain adaptation algorithm under close set domain and open set domain is verified. Finally, the research model is applied to artistic IST to further verify its effectiveness in transfer applications. In data preprocessing, all input images are scaled to  $256 \times 256$  pixels and normalized to map pixel values to the range of  $[0, 1]$ .

Tab. 1. Experimental environment and parameters.

Experimental environment	
Configuration item	Configuration details
Processor	Interl Corei7-8750H
Graphics processing unit	NVIDIA Tesla K80
Internal memory	16 G
Hard disk	500 G
Operating system	Ubuntu 20.04
Deep Learning Framework	Pytorch 2.2.2
Programming Language	Python 3.7
Experimental parameter	
Configuration item	Configuration details
Training rounds	100
Batch size	64
Learning rate	0.003
Dropout	0.2

For labeled data, the labels are converted into a single hot encoding form for model training. This study uses the Adam optimizer and adds operations such as random horizontal flipping, random rotation, and random color jitter during the training process to increase data diversity. The initial learning rate is set to 0.003 and adjusted using cosine annealing strategy. The batch size is 64 and the epoch is 100. In addition, the Dropout technique with a dropout rate of 0.2 is used to prevent overfitting. When the loss values on the training and validation sets change by less than 0.001 within 10 epochs, the model is considered to have converged. The early stop condition is triggered when the loss value on the validation set rises continuously for 15 epochs to avoid overfitting. The experimental platform selects a deep learning framework based on Pytorch. The experimental equipment and related parameters are described in detail, as displayed in Table 1.

The study first evaluates the performance of the CSDA-FD algorithm in digital classification under close set domains. The research model is compared with multiple classical domain adaptation methods. Three classical digital datasets are selected: MINST handwritten digit dataset, SVHN dataset, and EMNIST dataset.

Each method is evaluated 10 times on each task to ensure the stability of the results. The evaluation metric is numerical recognition accuracy, and three domain adaptation tasks are performed, namely: MINST to SVHN, SVHN to MINST, and EMNIST to MINST, as displayed in Table 2. In the three domain adaptation tasks of MINST to SVHN, SVHN to MINST, and EMNIST to MINST, the numerical recognition accuracy of the proposed CSDA-FD algorithm was 97.9%, 92.5%, and 98.1%, respectively, which

Tab. 2. Experimental results of numerical recognition accuracy [%].

Method	MINST to SVHN	SVHN to MINST	EMNIST to MINST
Source Only	81.1	67.8	83.2
DANN	83.0	75.1	85.5
DDC	82.1	77.4	86.2
MK-MMD	85.7	84.1	85.1
GAN	88.2	85.1	89.4
ADDA	90.2	88.3	91.6
DSN	87.9	86.5	90.7
CyCADA	92.1	88.8	92.5
DRANet	96.5	87.4	96.9
CDA	95.1	89.4	97.8
CSDA-FD	97.9	92.5	98.1
Target Only	98.5	92.3	99.4

was superior to that of other algorithms. To quantify the performance difference between CSDA-FD and other methods, this study takes Cohen's  $d$  to calculate the effect size and reports the 95% confidence interval. Taking the MINST to SVHN task as an example, the Source Only method is selected as the baseline (accuracy 81.1% and standard deviation 2.2%) and compared with the CSDA-FD method (accuracy 97.9% and standard deviation 1.6%). The calculated Cohen's  $d$  value was 8.75, with a 95% confidence interval of [7.37, 10.13]. This indicates that the performance of the CSDA-FD method is significantly better than that of the Source Only method, and the effect size is statistically significant. Overall, in all three domain adaptation scenarios, the research model demonstrated good numerical recognition accuracy, and the research algorithm was close to the accuracy of training directly using the labeled TD. Especially in the SVHN to MINST task, the accuracy of the research algorithm even exceeded that of training directly using the TD by 0.2%. The semantic segmentation performance of the CSDA-FD algorithm was tested in this study.

The experimental dataset was selected from the GTA5 road scene dataset, and 14 classic categories were selected for training. The evaluation metric is Pixel Accuracy (PA), as displayed in Table 3. The semantic segmentation results for 14 categories including roads, sidewalks, and buildings showed that the average PA of the CyCADA algorithm was 80.0%, the DRANet algorithm was 81.0%, the CDA algorithm was 83.1%, and the proposed CSDA-FD had a PA of over 84%, with an average PA of 85.2%. Overall, the semantic segmentation performance of the research algorithm is excellent, with high PA.

The memory usage of the algorithm in MINST to SVHN and SVHN to MINST transfer learning is tested, as displayed in Figure 8. According to Figure 8a, in the

Tab. 3. Experimental results on semantic segmentation accuracy [%].

Method	Road	Sidewalk	Building	Fence	Tree	Traffic light	Vehicle
CyCADA	80.6	79.9	79.4	79.1	79.1	80.0	80.4
DRANet	81.3	81.7	81.5	80.1	81.4	81.3	81.6
CDA	83.1	82.6	83.1	83.6	83.9	83.0	83.5
CSDA-FD	84.4	85.7	85.3	85.7	85.4	85.5	85.2

	Bicycle	Motorcycle	Pedestrian	Animal	Sky	Trash can	Street light
CyCADA	80.9	80.4	79.5	80.3	79.7	79.5	81.0
DRANet	80.1	81.1	81.4	80.2	80.5	81.9	80.5
CDA	82.5	83.3	83.2	82.2	82.7	82.1	83.9
CSDA-FD	85.7	85.2	84.1	85.7	85.6	84.0	85.8

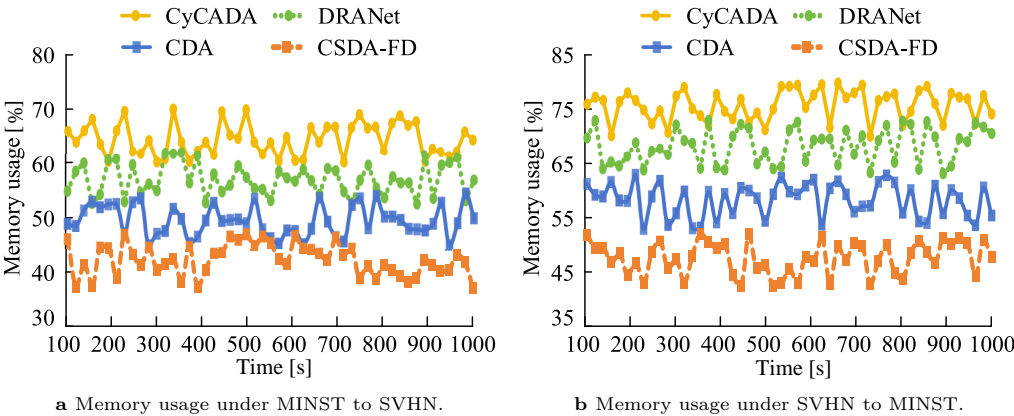


Fig. 8. Comparison result of memory usage.

MINST to SVHN transfer learning, the average memory usage of the CyCADA algorithm was 65.2%, the DRANet algorithm was 57.5%, the CDA algorithm was 49.9%, and the proposed CSDA-FD had an average memory usage of 42.6%. In SVHN to MINST transfer learning (see Fig. 8b), the average memory usage of the CyCADA algorithm was 75.7%, the DRANet algorithm was 68.2%, the CDA algorithm was 58.3%, and the proposed CSDA-FD algorithm was 47.5%. Overall, the research algorithm has the lowest memory usage, which is beneficial for improving the efficiency of algorithm operation.

The study tested the OSDA-DS algorithm in an open set domain using the Office-31 as the experimental dataset. The Office-31 is a benchmark dataset used for domain adaptation research, which includes images from different office environments such as Amazon, Webcam, and Digital Single Lens Reflex. The testing indicators are average accuracy and shared accuracy. Average accuracy is one of the most frequently applied

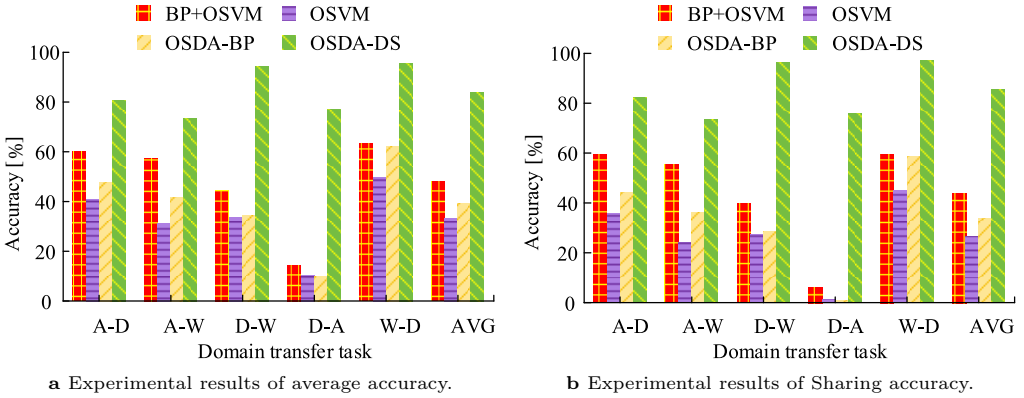


Fig. 9. Classification accuracy test results under open set domain.

evaluation indicators in classification problems, which represents the proportion of correctly classified samples to the total sample size. High accuracy indicates good performance. Shared accuracy only considers the accuracy of known samples. The comparison methods include the One-Class Support Vector Machine (OSVM) algorithm, the OSDA optimized by Back Propagation (OSDA-BP) algorithm, and the domain adaptation algorithm BP+OSVM, which combines back propagation and OSVM. The results are shown in Figure 9, where in the horizontal axis, A-D signify the accuracy from the Amazon domain to the scanner domain, A-W represents the accuracy from the Amazon domain to the camera domain, D-W represents the accuracy from the scanner domain to the camera domain, D-A represents the accuracy from the scanner domain to the Amazon domain, and W-D represents the accuracy from the camera domain to the scanner domain. According to Fig. 9a, under five transfer learning tasks, the average classification accuracy of OSVM was 33.5%, the OSDA-BP algorithm was 38.9%, the BP+OSVM was 48.0%, and the OSDA-DS algorithm was 83.6%. According to Fig. 9b, the average shared accuracy of the research algorithm was 85.6%, which was better than that of comparison algorithms. Overall, the research algorithm has the highest average accuracy and shared accuracy in image classification in transfer learning, and can effectively perform semantic recognition and classification in open set domains.

The Precision ( $P$ ), Recall ( $R$ ),  $F_1$  score, and Overall Accuracy (OA) of the algorithm are tested, as displayed in Table 4. According to this Table, on the Office-31 dataset, the  $P$ ,  $R$ ,  $F_1$ , and OA values of the OSVM algorithm were 0.928, 0.844, 0.788, and 0.775, respectively. The  $P$ ,  $R$ ,  $F_1$ , and OA values of the OSDA-BP algorithm were 0.951, 0.879, 0.864, and 0.886, respectively. The  $P$ ,  $R$ ,  $F_1$ , and OA values of the BP+OSVM algorithm were 0.961, 0.907, 0.933, and 0.925, respectively. The  $P$ ,  $R$ ,  $F_1$ , and OA values of the proposed OSDA-DS were 0.978, 0.943, 0.960, and 0.955, respectively, which were

Tab. 4. Test results for  $P$ ,  $R$ ,  $F_1$ , and OA.

On the Office-31 dataset				
Model	$P$	$R$	$F_1$	OA
OSVM	0.928	0.844	0.788	0.775
OSDA-BP	0.951	0.879	0.864	0.886
BP+OSVM	0.961	0.907	0.933	0.925
OSDA-DS	0.978	0.943	0.960	0.955

On the ImageNet dataset				
Model	$P$	$R$	$F_1$	OA
OSVM	0.869	0.814	0.668	0.732
OSDA-BP	0.860	0.821	0.712	0.755
BP+OSVM	0.887	0.856	0.780	0.794
OSDA-DS	0.942	0.898	0.854	0.841

Tab. 5. Results of the ablation experiment.

Model	Average accuracy	$P$	$R$	$F_1$
Without DS	0.782	0.942	0.908	0.928
Without GloVe	0.805	0.957	0.919	0.937
Without ParNet	0.768	0.934	0.903	0.922
Complete model	0.836	0.978	0.943	0.960

superior to those of other algorithms. On the ImageNet, the  $P$ ,  $R$ ,  $F_1$ , and OA values of the research algorithm were 0.942, 0.898, 0.854, and 0.841, respectively. Compared with the OSVM algorithm, the  $P$ ,  $R$ ,  $F_1$ , and OA values improved by 8.4%, 10.3%, 27.8%, and 10.9%, respectively. Overall, the research algorithm showed good detection results on both datasets, with superior performance and good transferability. To evaluate the independent contributions of each component in the OSDA-DS algorithm, this study conducts ablation experiments using the Office-31 dataset.

The complete model is compared with models without DS, GloVe, and ParNet. The results of the ablation experiment are shown in Table 5. According to this Table, the average accuracy OA,  $P$ ,  $R$ , and  $F_1$  score of the complete model were the highest, at 83.6%, 97.8%, 94.3%, and 96.0%, respectively. The model performance was the worst after removing ParNet, indicating that ParNet played a crucial role in feature extraction and model performance. The results indicate that the three components of DS, GloVe, and ParNet all play important roles in the OSDA-DS algorithm, jointly improving the classification performance and transfer ability of the model in open set domains.

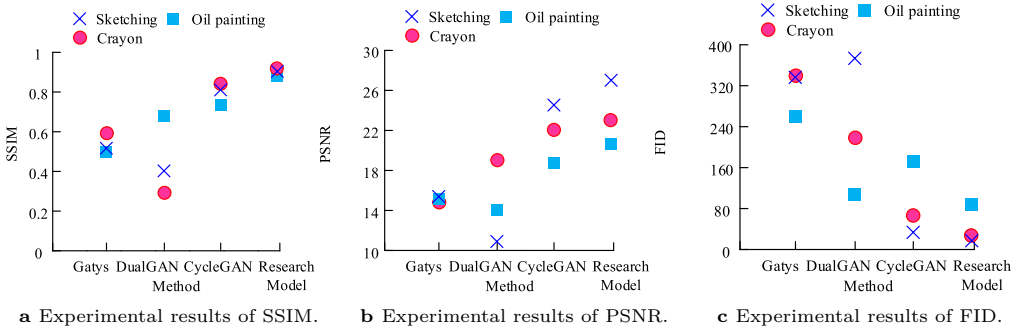


Fig. 10. Experiment results for SSIM, PSNR and FID.

Furthermore, the study tested the artistic image transfer effect of the domain adaptation model by selecting images of painting styles such as crayon drawing, oil painting, and sketching as the training dataset. The image size is set to  $256 \times 256$ , and photographic images of landscapes, buildings, etc. are selected as the test data. A comparative experiment is conducted to evaluate the quality of art images generated by transfer learning. The comparison methods selected are Gatys algorithm, Dual Generative Adversarial Networks (DualGAN), and Cycle-Consistent Generative Adversarial Networks (CycleGAN). The evaluation metrics are Structural Similarity Index (SSIM), Peak Signal-to-Noise Ratio (PSNR), and Fréchet Inception Distance (FID), as displayed in Figure 10. According to Fig. 10a, the average SSIM of the Gatys algorithm was 0.53, the average SSIM of the DualGAN algorithm was 0.48, the average SSIM of the CycleGAN was 0.81, and the average SSIM of the research model was 0.88. According to Fig. 10b, the average PSNR of the Gatys algorithm was 14.51, the average PSNR of the DualGAN algorithm was 15.11, the average PSNR of the CycleGAN algorithm was 22.91, and the average PSNR of the research model was 22.90. According to Fig. 10c, the average FID index of Gatys algorithm was 308.55, the average FID of DualGAN algorithm was 233.85, the average FID of CycleGAN was 87.19, and the average FID of the research model was 0.88. Overall, the research model generates images with minimal noise and can produce high-quality artistic images of different styles.

The study randomly selects three photographs for style transfer and generates images with sketching, oil painting, and crayon drawing styles, as shown in Figure 11. The research model was able to learn from images of different painting types, with good style transfer effects. The generated images retained the structural and semantic information of the original photographic images, with minimal distortion and reasonable color filling. Overall, the new images generated by the research model have vibrant colors and distinct lines, which can effectively facilitate the transfer learning of different artistic styles.

To further validate the superiority of the proposed model, this study takes the

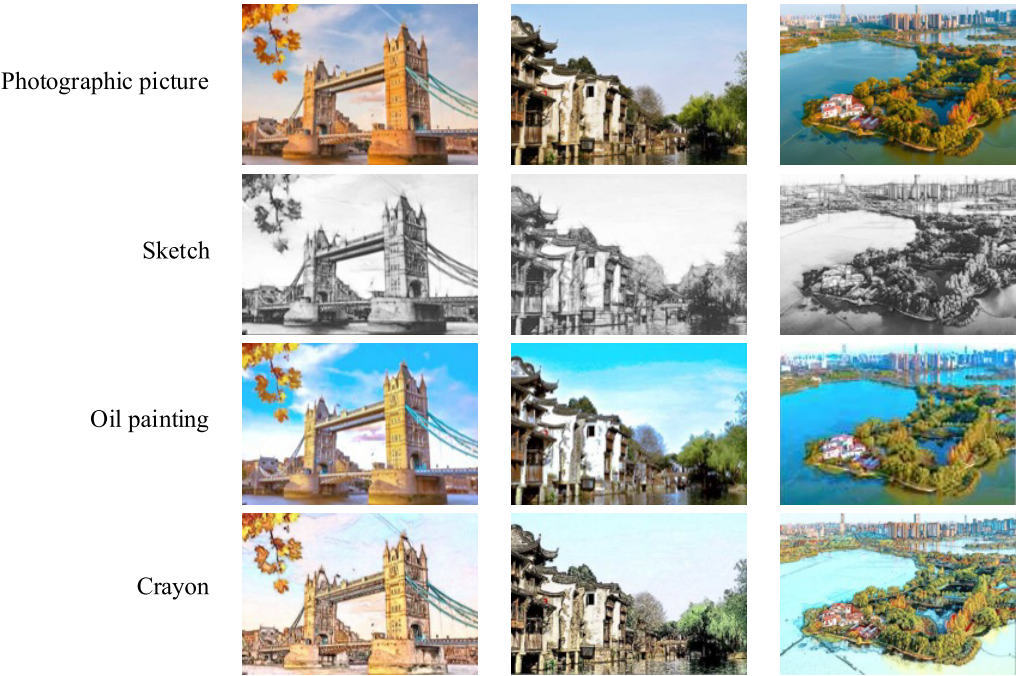


Fig. 11. Image style transfer rendering.

Tab. 6. Performance comparison results of five models.

Model	SSIM	PSNR	FID
Neural style conversion	0.65	18.3	120.2
AdaIN	0.71	20.0	94.6
WCT	0.74	20.8	85.1
StyleGAN2	0.79	21.9	74.7
Research model	0.90	23.2	0.89

WikiArt dataset for testing, which includes 55 art styles. The proposed model is compared with four state-of-the-art style conversion baseline models: neural style conversion, AdaIN, WCT, and StyleGAN2. The performance comparison results of these five models are shown in Table 6. The image quality generated by the proposed model in the WikiArt dataset was still good, with SSIM, PSNR, and FID of 0.90, 23.2, and 0.89, respectively, all higher than those of baseline models. The results indicate that the proposed model has stronger style transfer ability and higher generation quality when processing complex art style datasets, demonstrating certain superiority.

## 5. Discussion and conclusion

In response to the performance degradation of the training set and the difficulty in classifying unknown categories in domain adaptation learning during the transfer of artistic images, this study considered both close and open set domains to propose a domain adaptation model that integrated the CSDA-FD algorithm and the OSDA-DS algorithm. The model separated image features through feature disentangling and established a disentangling subspace. A DS was introduced to make the model lighter, improving the accuracy and efficiency of style transfer. Finally, the effectiveness and superiority were verified through experiments. The performance evaluation results showed that the research algorithm achieved an average numerical recognition accuracy of 96.2% in three domain adaptation tasks, which was superior to that of other algorithms. The results of the semantic segmentation task showed that the PA of the research algorithm was above 84%, with an average PA of 85.2%. The semantic segmentation performance was excellent and the PA was high. The test results under the open set domain showed that the OSDA-DS algorithm had an average classification accuracy of 83.6% and an average sharing accuracy of 85.6%, which could accurately classify images and prepare for subsequent image transfer. The quality of art images generated by transfer learning was evaluated. The average SSIM was 0.88, the average PSNR was 22.90, and the average FID was 0.88. The research model was able to learn from images of different painting types, with good style transfer effect, low generated image noise, and high quality. Overall, the domain adaptation model fused the CSDA-FD algorithm and the OSDA-DS algorithm for image transfer under different art styles can accurately recognize and classify images, thereby achieving style transfer. The proposed model has broad application prospects in real-world fields such as business design and educational platforms. For example, in the advertising industry, this model can quickly convert ordinary product images provided by customers into images with specific artistic styles, thereby enhancing the attractiveness of advertisements. Online art education platforms can also integrate this model to provide students with an interactive learning tool, assisting in creation and enhancing their art appreciation abilities. However, in practical operation, it may not be possible to completely and accurately decouple image content and style features, thereby affecting the accuracy and effectiveness of style transfer. Moreover, the model requires high computational resources and time during the training phase, especially when dealing with large-scale datasets, which limits its applicability in practical applications. Therefore, in future research, multi-scale analysis tools such as wavelet transform can be further combined to decompose images into subbands of different scales and perform feature decoupling operations separately. The network structure of the model is simplified and optimized to reduce redundant computational layers and parameters, and improve the computational efficiency of the model.

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